

# 3HDM w/ $\Delta(27)$ from an outer automorphism perspective

**Andreas Trautner**

based on

NPB883 (2014) 267-305 (arXiv:1402.0507)

with: M.-C. Chen, M. Fallbacher, K.T. Mahanthappa and M. Ratz.

NPB894 (2015) 136-160 (arXiv:1502.01829)

with: M. Fallbacher.



CFTP  
6.9.16



# Motivation

- Standard Model flavor puzzle.
- Flavor and CP are intertwined.
  - **CP violation** established in quark sector, consistent with SM (CKM). ✓
  - open question: **CP violation** in lepton sector ?
  - open question: Why  $\bar{\theta} < 10^{-10}$  ?  
Why CP violation *only* in FV processes?
- *The* theory of flavor should also be *the* theory of CPV.
- Plan: be humble, try to understand origin of CPV (“only” one parameter).
- The 3HDM with  $\Delta(27)$  symmetry has very interesting CP properties.

# Outline

The model: 3HDM with  $\Delta(27)$

Spontaneous geometrical CP violation

What is an outer automorphism?

Outer automorphisms in 3HDM with  $\Delta(54)$

Summary

# The model

3HDM model with  $[\Delta(27) \Rightarrow] \Delta(54)$  symmetry.

(This is the original "geometrical T violation" model of Branco, Gerard, and Grimus.) [\[Branco, Gerard, Grimus, '83\]](#)

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## Model:

- Triplet  $H := (H_1, H_2, H_3)$  of Higgs doublets  $H_i$ , each transforming as  $(\mathbf{1}, \mathbf{2})_{1/2}$  under  $G_{\text{SM}}$ .

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$$A = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, B = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}, C = \pm \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}.$$

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- “Traditional” way to write the potential:  $(i, j = 1, \dots, 3; i \neq j)$

$$\begin{aligned} V = & -m^2 H_i^\dagger H_i + \lambda_1 (H_i^\dagger H_i)^2 + \lambda_2 (H_i^\dagger H_i) (H_j^\dagger H_j) + \lambda_3 (H_i^\dagger H_j) (H_j^\dagger H_i) \\ & + e^{i\Omega} \lambda_4 [(H_1^\dagger H_2) (H_1^\dagger H_3) + \text{cyclic}] + \text{h.c.} . \end{aligned}$$

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- Notation:

$$\langle 0 | H_i | 0 \rangle \equiv \langle H_i \rangle := \begin{pmatrix} 0 \\ v_i e^{i\varphi_i} \end{pmatrix} \quad \text{for } i = 1, \dots, 3 \\ \langle H \rangle = (v_1 e^{i\varphi_1}, v_2 e^{i\varphi_2}, v_3 e^{i\varphi_3})^T.$$



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- Potential gives rise to four classes of VEVs:  $v_i = \frac{m}{\sqrt{2(a_0 + a_i)}}, \omega := e^{2\pi i/3}$

$$\langle H \rangle_{\text{I}} = v_1 \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \langle H \rangle_{\text{II}} = v_2 \begin{pmatrix} \omega \\ 1 \\ 1 \end{pmatrix}, \langle H \rangle_{\text{III}} = v_3 \begin{pmatrix} \omega^2 \\ 1 \\ 1 \end{pmatrix}, \langle H \rangle_{\text{IV}} = v_4 \begin{pmatrix} \sqrt{3} \\ 0 \\ 0 \end{pmatrix}.$$

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# Spontaneous geometrical CP violation

If a CP transformation  $H \mapsto UH^*$  is a symmetry of the Lagrangian, then

$$\langle H \rangle \neq U \langle H \rangle^*$$

must hold in order for this CP transformation to be spontaneously violated.

[Branco et al. '83]

- For example:  $U = \mathbb{1}$  is a CP symmetry if  $\Omega = 0, \pi$ .  
It is broken by VEVs of type II and III.  
 $\curvearrowright$  there appears a physical CPV phase:  $\omega = e^{2\pi i/3}$ .
- All possible forms of  $U$  are given by solutions to the “consistency condition” (for various  $u$ 's)

$$U \rho_{\mathbf{3}^*}(g) U^\dagger = \rho_{\mathbf{3}}(u(g)).$$

[Holthausen, Lindner, Schmidt, '13; Feruglio, Hagedorn, Ziegler, '13]

$\Rightarrow$  Actually: CP transformations are special **outer automorphism** transformations of all present symmetries (in particular  $\Delta(54)$ ).

# What is an outer automorphism?

Example:  $\mathbb{Z}_3$  symmetry, generated by  $a^3 = \text{id}$ .

- All elements of  $\mathbb{Z}_3 : \{\text{id}, a, a^2\}$ .
- Outer automorphism group (“Out”) of  $\mathbb{Z}_3$ : generated by

$$u(a) : a \mapsto a^2. \quad (\text{think: } u a u^{-1} = a^2)$$

$\mathbb{Z}_3$	id	a	$a^2$
1	1	1	1
1'	1	$\omega$	$\omega^2$
1''	1	$\omega^2$	$\omega$

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Abstract: Out is a reshuffling of symmetry elements. (Out := Aut/Inn)  
 A “**symmetry of the symmetry**”.

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Abstract: Out is a reshuffling of symmetry elements. ( $\text{Out} := \text{Aut}/\text{Inn}$ )  
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Concrete: Out is a mapping between representations  $r \mapsto r'$ .

The transformation matrix  $U$  is given by the solution to

$$U \rho_{r'}(g) U^{-1} = \rho_r(u(g)) , \quad \forall g \in G .$$

(Note:  $r' = r^*$  is a special case of this).

[Fallbacher, AT, '15]

# Outer automorphisms of $\Delta(54)$

Outer automorphisms of a discrete group are symmetries of the character table.

$\Delta(54)$	$C_{1a}$	$C_{3a}$	$C_{3b}$	$C_{3c}$	$C_{3d}$	$C_{2a}$	$C_{6a}$	$C_{6b}$	$C_{3e}$	$C_{3f}$
$1_0$	1	1	1	1	1	1	1	1	1	1
$1_1$	1	1	1	1	1	-1	-1	-1	1	1
$2_1$	2	2	-1	-1	-1	0	0	0	2	2
$2_2$	2	-1	2	-1	-1	0	0	0	2	2
$2_3$	2	-1	-1	2	-1	0	0	0	2	2
$2_4$	2	-1	-1	-1	2	0	0	0	2	2
$3_1$	3	0	0	0	0	1	$\omega^2$	$\omega$	$3\omega$	$3\omega^2$
$\bar{3}_1$	3	0	0	0	0	1	$\omega$	$\omega^2$	$3\omega^2$	$3\omega$
$3_2$	3	0	0	0	0	-1	$-\omega^2$	$-\omega$	$3\omega$	$3\omega^2$
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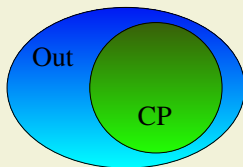
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- But: not all outer automorphisms are CP transformations!

[Chen, Fallbacher, Mahanthappa, Ratz, AT, '14]

[Fallbacher, AT, '15]



$$\text{Out} : r_i \mapsto r_j$$

$$\text{CP} : r \mapsto r^*$$

# Outer automorphisms in $\Delta(54)$ 3HDM

Note: All quartic interactions arise from

$$\left[ \left( H_{\mathbf{3}}^\dagger \otimes H_{\mathbf{3}} \right) \otimes \left( H_{\mathbf{3}}^\dagger \otimes H_{\mathbf{3}} \right) \right]_{\mathbf{1}_0} ,$$

either via  $\left[ (\overline{\mathbf{3}} \otimes \mathbf{3})_{\mathbf{1}_0} \otimes (\overline{\mathbf{3}} \otimes \mathbf{3})_{\mathbf{1}_0} \right]_{\mathbf{1}_0}$  or via  $\left[ (\overline{\mathbf{3}} \otimes \mathbf{3})_{\mathbf{2}_i} \otimes (\overline{\mathbf{3}} \otimes \mathbf{3})_{\mathbf{2}_i} \right]_{\mathbf{1}_0} .$

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↪ A more “natural” way to write the potential:

$$\begin{aligned} V(H, \vec{a}) = & -m^2 H_i^\dagger H_i + a_0 I_0(H^\dagger, H) \\ & + a_1 I_1(H^\dagger, H) + a_2 I_2(H^\dagger, H) \\ & + a_3 I_3(H^\dagger, H) + a_4 I_4(H^\dagger, H) , \end{aligned}$$

with  $\vec{a} = (a_0, a_1, a_2, a_3, a_4) \in \mathbb{R}^5$ .

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**Large outer automorphism** group:

$$\text{Out}(\Delta(54)) = S_4, \quad \text{maps } H \rightarrow UH \text{ (even) or } H \rightarrow UH^* \text{ (odd).}$$

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Outer automorphism group applied to the VEVs:

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Four classes of VEVs:

$$\omega := e^{2\pi i/3}, \quad v_i = \frac{m}{\sqrt{2(a_0 + a_i)}},$$

$$\langle H \rangle_{\text{I}} = v_1(1, 1, 1), \quad \langle H \rangle_{\text{II}} = v_2(\omega, 1, 1),$$

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Insights:

- Couplings  $\{a_1, a_2, a_3, a_4\}$  form a 4-plet under  $S_4$ .  
 $\Rightarrow$  physically degenerate parameter space  $(a_1, a_2, a_3, a_4) \rightarrow a_1 \leq a_2 \leq a_3 \leq a_4$ .
- VEVs  $\Phi := (\langle H \rangle_{\text{I}}, \langle H \rangle_{\text{II}}, \langle H \rangle_{\text{III}}, \langle H \rangle_{\text{IV}})$  form a 4-plet under  $S_4$ .  
 $\Rightarrow$  **VEVs are calculable** from a homogeneous linear equation  $M\Phi = 0$ .
- This completely **fixes** the directions, and relative (physical) phases of the VEVs.
- VEVs break to isomorphic subgroups  $\rightarrow$  each VEV encodes the same physics!

[Fallbacher, AT, '15]

# Outer automorphisms in $\Delta(54)$ 3HDM

This “derived” parametrization simplifies the understanding of CP and symmetry enhancement.

CP–odd basis invariant:

$$I_6 = -9\sqrt{3}(a_1 - a_2)(a_1 - a_3)(a_1 - a_4)(a_2 - a_3)(a_2 - a_4)(a_3 - a_4) . \quad [\text{Nishi}]$$

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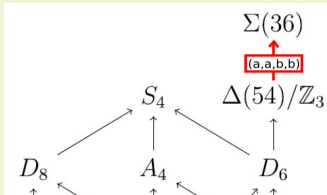
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# Outer automorphisms in general (beyond C,P)

This 3HDM model is an example for some very general statements on **outer automorphisms**:

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- **Outs** can give rise to emergent symmetries.

*here:*  $U \langle H \rangle_I = \langle H \rangle_I$ , where  $U \in \text{Out}(G)$ .

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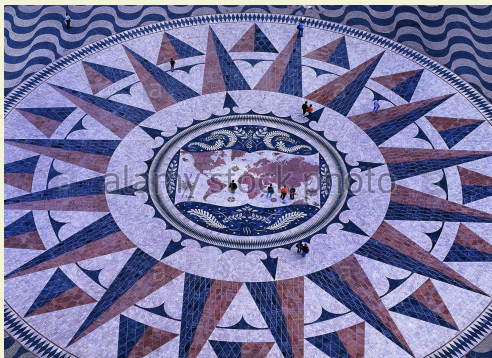
*here:*  $U \langle H \rangle_I = \langle H \rangle_I$ , where  $U \in \text{Out}(G)$ .

$\Rightarrow$  VEV has higher symmetry than potential.

- Ultimately, the direction and relative phases of the VEVs are fixed, because each VEV has to be an eigenvector of some element of the **outer automorphism** group.  
This is at the heart of (spontaneous) geometrical CP violation.

# Summary

- Outer automorphisms are the non-trivial symmetries of a symmetry ( $\rightarrow$  think of them as mappings among the irreps).
- CP is a special **outer automorphism** which maps *all* present representations to their complex conjugate representation.
- Outer automorphisms in general:
  - Act as permutation of symmetry invariants,  
 $\Rightarrow$  point to physical degeneracies in the parameter space.
  - Can give rise to VEVs with emergent symmetry,  
 $\Rightarrow$  allow for a very simple calculation of VEVs.
- In the 3HDM with  $\Delta(54)$  [ $\Delta(27)$ ] symmetry, the large outer automorphism group can be viewed as the reason for spontaneous geometrical CP violation with calculable phases.



# Thank You!

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# Backup slides



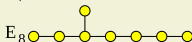
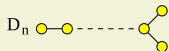
# What is an outer automorphism?



There are easy ways to depict this...

## Continuous groups:

Outer automorphisms of a Lie algebra are the symmetries of the corresponding Dynkin diagram.



	Lie Group	Out	Action on reps
$A_{n>1}$	$SU(N)$	$\mathbb{Z}_2$	$\mathbf{r} \rightarrow \mathbf{r}^*$
$D_{n=4}$	$SO(8)$	$S_3$	$\mathbf{r}_i \rightarrow \mathbf{r}_j$
$D_{n>4}$	$SO(2N)$	$\mathbb{Z}_2$	$\mathbf{r} \rightarrow \mathbf{r}^*$
$E_6$	$E_6$	$\mathbb{Z}_2$	$\mathbf{r} \rightarrow \mathbf{r}^*$
all others		/	/

# What is an outer automorphism?

## Discrete groups:

Outer automorphisms of a discrete group are the symmetries of the character table.

$\Delta(54)$	$C_{1a}$	$C_{3a}$	$C_{3b}$	$C_{3c}$	$C_{3d}$	$C_{2a}$	$C_{6a}$	$C_{6b}$	$C_{3e}$	$C_{3f}$
$1_0$	1	1	1	1	1	1	1	1	1	1
$1_1$	1	1	1	1	1	-1	-1	-1	1	1
$2_1$	2	2	-1	-1	-1	0	0	0	2	2
$2_2$	2	-1	2	-1	-1	0	0	0	2	2
$2_3$	2	-1	-1	2	-1	0	0	0	2	2
$2_4$	2	-1	-1	-1	2	0	0	0	2	2
$3_1$	3	0	0	0	0	1	$\omega^2$	$\omega$	$3\omega$	$3\omega^2$
$\overline{3}_1$	3	0	0	0	0	1	$\omega$	$\omega^2$	$3\omega^2$	$3\omega$
$3_2$	3	0	0	0	0	-1	$-\omega^2$	$-\omega$	$3\omega$	$3\omega^2$
$\overline{3}_2$	3	0	0	0	0	-1	$-\omega$	$-\omega^2$	$3\omega^2$	$3\omega$

Advantage:

The outer automorphisms of any ("small") discrete group can easily be found with GAP [GAP].

Group	Out	Action on reps
$\mathbb{Z}_3$	$\mathbb{Z}_2$	$\mathbf{r} \rightarrow \mathbf{r}^*$
$A_{n \neq 6}$	$\mathbb{Z}_2$	$\mathbf{r} \rightarrow \mathbf{r}^*$
$S_{n \neq 6}$	/	/
$\Delta(27)$	$GL(2, 3)$	$\mathbf{r}_i \rightarrow \mathbf{r}_j$
$\Delta(54)$	$S_4$	$\mathbf{r}_i \rightarrow \mathbf{r}_j$

...

$\Delta(27)$	$C_{1a}$ <b>1</b> $e$	$C_{3a}$ <b>3</b> $A$	$C_{3b}$ <b>3</b> $A^2$	$C_{3c}$ <b>3</b> $B$	$C_{3d}$ <b>3</b> $B^2$	$C_{3e}$ <b>3</b> $ABA$	$C_{3f}$ <b>3</b> $BAB$	$C_{3g}$ <b>3</b> $AB$	$C_{3h}$ <b>3</b> $A^2B^2$	$C_{3i}$ <b>1</b> $AB^2ABA$	$C_{3j}$ <b>1</b> $BA^2BAB$
<b>1</b> <sub>0</sub>	1	1	1	1	1	1	1	1	1	1	1
<b>1</b> <sub>1</sub>	1	1	1	$\omega^2$	$\omega$	$\omega^2$	$\omega$	$\omega^2$	$\omega$	1	1
<b>1</b> <sub>2</sub>	1	1	1	$\omega$	$\omega^2$	$\omega$	$\omega^2$	$\omega$	$\omega^2$	1	1
<b>1</b> <sub>3</sub>	1	$\omega^2$	$\omega$	1	1	$\omega$	$\omega^2$	$\omega^2$	$\omega$	1	1
<b>1</b> <sub>4</sub>	1	$\omega^2$	$\omega$	$\omega^2$	$\omega$	1	1	$\omega$	$\omega^2$	1	1
<b>1</b> <sub>5</sub>	1	$\omega^2$	$\omega$	$\omega$	$\omega^2$	$\omega^2$	$\omega$	1	1	1	1
<b>1</b> <sub>6</sub>	1	$\omega$	$\omega^2$	1	1	$\omega^2$	$\omega$	$\omega$	$\omega^2$	1	1
<b>1</b> <sub>7</sub>	1	$\omega$	$\omega^2$	$\omega^2$	$\omega$	$\omega$	$\omega^2$	1	1	1	1
<b>1</b> <sub>8</sub>	1	$\omega$	$\omega^2$	$\omega$	$\omega^2$	1	1	$\omega^2$	$\omega$	1	1
<b>3</b>	3	0	0	0	0	0	0	0	0	$3\omega^2$	$3\omega$
<b><math>\bar{3}</math></b>	3	0	0	0	0	0	0	0	0	$3\omega$	$3\omega^2$

**Tabelle:** Character table of  $\Delta(27)$ . We define  $\omega := e^{2\pi i/3}$ . The conjugacy classes (c.c.) are labeled by the order of their elements and a letter. The second line gives the cardinality of the corresponding c.c. and the third line gives a representative of the c.c. in the presentation specified in the text.

# 3HDM dictionary

A more “natural” way to write the potential:

$$\begin{aligned} \left[ \left( H_{\mathbf{3}}^\dagger \otimes H_{\mathbf{3}} \right) \otimes \left( H_{\mathbf{3}}^\dagger \otimes H_{\mathbf{3}} \right) \right]_{\mathbf{1}_0} &= a_0 \left[ \left( H^\dagger \otimes H \right)_{\mathbf{1}_0} \otimes \left( H^\dagger \otimes H \right)_{\mathbf{1}_0} \right] \\ &+ \frac{a_1}{\sqrt{2}} \left[ \left( H^\dagger \otimes H \right)_{\mathbf{2}_1} \otimes \left( H^\dagger \otimes H \right)_{\mathbf{2}_1} \right]_{\mathbf{1}_0} + \frac{a_2}{\sqrt{2}} \left[ \left( H^\dagger \otimes H \right)_{\mathbf{2}_3} \otimes \left( H^\dagger \otimes H \right)_{\mathbf{2}_3} \right]_{\mathbf{1}_0} \\ &+ \frac{a_3}{\sqrt{2}} \left[ \left( H^\dagger \otimes H \right)_{\mathbf{2}_4} \otimes \left( H^\dagger \otimes H \right)_{\mathbf{2}_4} \right]_{\mathbf{1}_0} + \frac{a_4}{\sqrt{2}} \left[ \left( H^\dagger \otimes H \right)_{\mathbf{2}_2} \otimes \left( H^\dagger \otimes H \right)_{\mathbf{2}_2} \right]_{\mathbf{1}_0} . \end{aligned}$$

Relations between the two different bases:

$$\begin{aligned} 3 \lambda_1 &= a_0 + a_4 , \quad 3 \lambda_2 = 2a_0 - a_4 , \quad 3 \lambda_3 = a_1 + a_2 + a_3 , \\ 3 \lambda_4 &= |a_1 + \omega^2 a_2 + \omega a_3| , \quad \text{and} \quad \Omega = \arg(a_1 + \omega^2 a_2 + \omega a_3) . \end{aligned}$$

Bounded–below criterions:

$$0 < \lambda_1 \quad \text{and} \quad 0 < \lambda_1 + \lambda_{23} + 2 \lambda_4 \cos[2\pi/3 + (\Omega \bmod 2\pi/3)] ,$$

vs.

$$0 < a_0 + a_\ell , \quad \text{for } \ell = 1, \dots, 4 .$$

Invariants spelled out:

$$I_0(H^\dagger, H) = \frac{1}{3} \left( H_1^\dagger H_1 + H_2^\dagger H_2 + H_3^\dagger H_3 \right)^2 ,$$

$$I_1(H^\dagger, H) = \frac{\sqrt{2}}{3} \left[ \left( H_1^\dagger H_2 H_1^\dagger H_3 + H_2^\dagger H_1 H_2^\dagger H_3 + H_3^\dagger H_1 H_3^\dagger H_2 + \text{h.c.} \right) + \right. \\ \left. H_1^\dagger H_2 H_2^\dagger H_1 + H_1^\dagger H_3 H_3^\dagger H_1 + H_2^\dagger H_3 H_3^\dagger H_2 \right] ,$$

$$I_2(H^\dagger, H) = \frac{\sqrt{2}}{3} \left[ H_1^\dagger H_1 H_1^\dagger H_1 + H_2^\dagger H_2 H_2^\dagger H_2 + H_3^\dagger H_3 H_3^\dagger H_3 \right. \\ \left. - H_1^\dagger H_1 H_2^\dagger H_2 + -H_1^\dagger H_1 H_3^\dagger H_3 + -H_2^\dagger H_2 H_3^\dagger H_3 \right] ,$$

$$I_3(H^\dagger, H) = \frac{\sqrt{2}}{3} \left[ \left( \omega^2 H_1^\dagger H_2 H_1^\dagger H_3 + \omega^2 H_2^\dagger H_1 H_2^\dagger H_3 + \omega^2 H_3^\dagger H_1 H_3^\dagger H_2 + \text{h.c.} \right) + \right. \\ \left. H_1^\dagger H_2 H_2^\dagger H_1 + H_1^\dagger H_3 H_3^\dagger H_1 + H_2^\dagger H_3 H_3^\dagger H_2 \right] ,$$

$$I_4(H^\dagger, H) = \frac{\sqrt{2}}{3} \left[ \left( \omega H_1^\dagger H_2 H_1^\dagger H_3 + \omega H_2^\dagger H_1 H_2^\dagger H_3 + \omega H_3^\dagger H_1 H_3^\dagger H_2 + \text{h.c.} \right) + \right. \\ \left. H_1^\dagger H_2 H_2^\dagger H_1 + H_1^\dagger H_3 H_3^\dagger H_1 + H_2^\dagger H_3 H_3^\dagger H_2 \right] .$$

If there is an outer automorphism transformation  $u$  acting consistently with the symmetries and representations of a model then it is possible to obtain new VEVs from a known one  $\langle \Phi(\lambda) \rangle$  simply by taking

$$\langle \Phi(\lambda) \rangle_{\text{new}} = \begin{cases} U \langle \Phi(\lambda \rightarrow \lambda') \rangle, & \text{if } u : \mathbf{r}_\Phi \mapsto U \mathbf{r}_\Phi, \text{ or} \\ U \langle \Phi(\lambda \rightarrow \lambda') \rangle^*, & \text{if } u : \mathbf{r}_\Phi \mapsto U \mathbf{r}_\Phi^*. \end{cases} \quad (1)$$

This implies that stationary points of potentials always appear in complete multiplets of the available group of outer automorphisms.