

Order-4 CP symmetry and its consequences

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based on:

I. P. Ivanov, J. P. Silva, PRD 93, 095014 (2016)

A. Aranda, I. P. Ivanov, E. Jiménez, arXiv:1608.08922



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- 1 CP4-3HDM
- 2 The origin of CP-half-odd scalars
- 3 Yukawas for CP-half-odd scalars
- 4 Conclusions

Quest for CP violation

- **CP-violation** does not follow from the gauge structure of the SM.
- The **smallness** of CPV might be related to the fermion masses/mixing.
- The gauge structure of SM does not significantly restrict the **scalar sector**.

Extended scalar sectors offer many opportunities to naturally introduce CPV: explicit (soft/hard), spontaneous, geometrical, mismatching CPs in different sectors, etc.

Without direct experimental indication of New Physics, it makes sense to theoretically explore **all possible ways the CPV can appear**.

Freedom of defining CP

In QFT, the discrete transformations such as CP are not uniquely defined *a priori* [e.g. [Feinberg, Weinberg, 1959](#)].

For example, in NHDM with doublets ϕ_i , $i = 1, \dots, N$, the transformation

$$\phi_i \xrightarrow{CP} X_{ij} \phi_j^*, \quad X \in U(N),$$

with any X can play the role of “the CP transformation” [e.g. [Branco, Lavoura, Silva, 1999](#)]. The “standard” convention $\phi_i \xrightarrow{CP} \phi_i^*$ is basis-dependent.

I will show that in models with several gauge-blind scalars the freedom of defining CP is even larger. In particular, it can lead to scalars which are CP-half-odd:

$$J: \quad \Phi(\mathbf{x}, t) \xrightarrow{CP} i \Phi(-\mathbf{x}, t).$$

Notice: (1) no conjugation, (2) CP4: order-4 transformation, $J^2 \neq \mathbb{I}$, $J^4 = \mathbb{I}$.

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CP4-3HDM

Consider 3HDM with the following potential $V = V_0 + V_1$ (notation: $i \equiv \phi_i$):

$$V_0 = -m_{11}^2(1^\dagger 1) - m_{22}^2(2^\dagger 2 + 3^\dagger 3) + \lambda_1(1^\dagger 1)^2 + \lambda_2 \left[(2^\dagger 2)^2 + (3^\dagger 3)^2 \right] \\ + \lambda_3(1^\dagger 1)(2^\dagger 2 + 3^\dagger 3) + \lambda_3'(2^\dagger 2)(3^\dagger 3) + \lambda_4 \left[(1^\dagger 2)(2^\dagger 1) + (1^\dagger 3)(3^\dagger 1) \right] + \lambda_4'(2^\dagger 3)(3^\dagger 2),$$

with all parameters real, and

$$V_1 = \lambda_5(3^\dagger 1)(2^\dagger 1) + \frac{\lambda_6}{2} \left[(2^\dagger 1)^2 - (3^\dagger 1)^2 \right] + \lambda_8(2^\dagger 3)^2 + \lambda_9(2^\dagger 3) \left[(2^\dagger 2) - (3^\dagger 3) \right] + h.c.$$

with real $\lambda_{5,6}$ and **complex** $\lambda_{8,9}$. It is invariant under **order-4 CP**:

$$J : \phi_i \xrightarrow{CP} X_{ij} \phi_j^*, \quad X = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & i \\ 0 & -i & 0 \end{pmatrix}.$$

Its square, $J^2 = XX^* = \text{diag}(1, -1, -1)$ and $J^4 = \mathbb{I}$.

This model has no other symmetries [Ivanov, Keus, Vdovin, 2012].

Physical scalars

CP-conserving minimum: $v_i = (v, 0, 0)$.

Physical scalars: h_{SM} , degenerate $H_{2,3}^{\pm}$, and two pairs of degenerate neutrals: the heavier H and A and the lighter h and a , with masses

$$M^2, m^2 = -m_{22}^2 + \frac{v^2}{2} \left(\lambda_3 + \lambda_4 \pm \sqrt{\lambda_5^2 + \lambda_6^2} \right).$$

However, these real neutrals are **not CP-eigenstates**:

$$H \xrightarrow{CP} A, \quad A \xrightarrow{CP} -H, \quad h \xrightarrow{CP} -a, \quad a \xrightarrow{CP} h.$$

Can we combine them into neutral complex fields which are CP-eigenstates?

Physical scalars

Yes, we can:

$$\Phi = \frac{1}{\sqrt{2}}(H - iA), \quad \varphi = \frac{1}{\sqrt{2}}(h + ia), \quad \Phi \xrightarrow{CP} i\Phi, \quad \varphi \xrightarrow{CP} i\varphi.$$

Conserved quantum number: not \mathbb{Z}_2 -parity but the **charge q** defined modulo 4.

In terms of (Φ, φ) , the kinetic term is OK: $|\partial_\mu \Phi|^2 + |\partial_\mu \varphi|^2$. The interactions contains only combinations

$$\varphi^* \varphi, \quad \varphi^4, \quad (\varphi^*)^4, \quad \varphi^2 (\varphi^*)^2, \quad \text{where } \varphi \text{ stands for } \Phi \text{ or } \varphi,$$

all of which **conserve q** . Transitions $\varphi^* \rightarrow \varphi\varphi\varphi$, $\varphi\varphi \rightarrow \varphi^*\varphi^*$ are possible, while $\varphi \rightarrow \varphi^*$ are forbidden by q conservation.

Similarly to ZHA vertex in CP -conserving 2HDM, with H and A of opposite CP -parities, we have $Z\Phi\varphi$ vertex, with two scalars of **the same CP -properties**:

$$\text{instead of } (+1) \cdot (-1) = -1 \quad \text{we have } i \cdot i = -1.$$

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To C, or not to C — that is the question



$\Phi(\mathbf{x}, t) \xrightarrow{CP} i\Phi(-\mathbf{x}, t)$ looks like P transformation rather than CP .

Where did we lose the C in CP ?

The origin of CP-half-odd scalars

Back to basics

Single complex scalar field:

$$\phi(\mathbf{x}, t) = \int \tilde{d}p \left[a(\mathbf{p}) e^{-ipx} + b^\dagger(\mathbf{p}) e^{ipx} \right].$$

If we define $\phi \xrightarrow{CP} \phi^* \Rightarrow a(\mathbf{p}) \xrightarrow{CP} b(-\mathbf{p}), b(\mathbf{p}) \xrightarrow{CP} a(-\mathbf{p})$, which means

$b^\dagger|0\rangle$ is antiparticle of $a^\dagger|0\rangle$.

Two complex mass-degenerate scalars $\phi_i(x)$, $i = 1, 2$. If $\phi_1 \xrightarrow{CP} \phi_2^*, \phi_2 \xrightarrow{CP} \phi_1^*$, then $a_1(\mathbf{p}) \leftrightarrow b_2(-\mathbf{p}), b_1(\mathbf{p}) \leftrightarrow a_2(-\mathbf{p})$ under CP, which means

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Basis change

Within the same $\phi_1 \xrightarrow{CP} \phi_2^*$, $\phi_2 \xrightarrow{CP} \phi_1^*$ example, define η and ξ as

$$\begin{pmatrix} \eta \\ \xi \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}, \quad \eta \xrightarrow{CP} \eta^*, \quad \xi \xrightarrow{CP} -\xi^*.$$

Remember: η and ξ are mass-degenerate.

Combine two CP-even fields $\text{Re}\eta$ and $\text{Im}\xi \rightarrow \Phi = \text{Re}\eta - i \text{Im}\xi$.

Combine two CP-odd fields $\text{Re}\xi$ and $\text{Im}\eta \rightarrow \tilde{\Phi} = \text{Re}\xi - i \text{Im}\eta$.

$$\Phi \xrightarrow{CP} \Phi, \quad \tilde{\Phi} \xrightarrow{CP} -\tilde{\Phi}.$$

Conjugation disappeared.

Basis change

One can now describe passage from $(\phi_1, \phi_2) \rightarrow (\eta, \xi) \rightarrow (\Phi, \tilde{\Phi})$ via

$$\begin{pmatrix} \Phi \\ \tilde{\Phi} \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} \phi_1 \\ \phi_2^* \end{pmatrix}.$$

This basis change “undoes” the conjugation.

This is a norm-preserving non-holomorphic map on \mathbb{C}^2 , not the usual basis change. It is a valid $O(4)$ -rotation in \mathbb{R}^4 spanned by $(\text{Re}\eta, \text{Im}\eta, \text{Re}\xi, \text{Im}\xi)$.

If complex fields are **gauge-blind** (do not carry any non-zero gauge quantum number), the group of basis changes increases from $U(2)$ to $O(4)$.

Basis change

For operators,

$$\Phi = \int \tilde{d}p (a e^{-ipx} + b^\dagger e^{ipx}), \quad \tilde{\Phi} = \int \tilde{d}p (\tilde{a} e^{-ipx} + \tilde{b}^\dagger e^{ipx}),$$

then passage from a_i, b_i to $a, b, \tilde{a}, \tilde{b}$ is given by

$$\begin{pmatrix} a \\ \tilde{a} \\ b \\ \tilde{b} \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 & 0 & 1 \\ -1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & -1 & 0 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ b_1 \\ b_2 \end{pmatrix}.$$

We have four different orthogonal one-particle states, all having the same quantum numbers, and **we are free to assign who is antiparticle of whom**.

In particular, Φ^* produces not the antiparticle of Φ but a **different field**.

For mass-degenerate gauge-blind scalars,
conjugating or not under CP is **a matter of basis choice**.



In all transformations, **we never redefined the CP transformation itself**.

CP4

CP-half-odd scalars arise in a similar way.

- Starting point: $\phi_1 \xrightarrow{CP} i\phi_2^*$, $\phi_2 \xrightarrow{CP} -i\phi_1^*$.
- Operators: $a_1 \xrightarrow{CP} ib_2$, $b_2 \xrightarrow{CP} ia_1$ and $a_2 \xrightarrow{CP} -ib_1$, $b_1 \xrightarrow{CP} -ia_2$.
- Basis change:

$$\begin{pmatrix} \Phi \\ \varphi^* \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} \phi_1 \\ \phi_2^* \end{pmatrix}.$$

- Result: $\Phi \xrightarrow{CP} i\Phi$, $\varphi \xrightarrow{CP} i\varphi$. For operators

$$a_\Phi = (a_1 + b_2)/\sqrt{2} \xrightarrow{CP} ia_\Phi, \quad b_\Phi^\dagger = (a_2^\dagger + b_1^\dagger)/\sqrt{2} \xrightarrow{CP} ib_\Phi^\dagger.$$

An example of **doubling of mass eigenstates beyond Kramers degeneracy** (a possibility mentioned e.g. in **Weinberg, vol. 1, app. 2C**).

Yukawa couplings for CP-half-odd scalars

Yukawas for CP-half-odd scalars

Can CP-half-odd scalars have CP-conserving Yukawa interactions? Yes, provided the CP mixes the fermion families.

Generic Yukawa sector for $N = 3$ fermion generations:

$$-\mathcal{L}_Y = \bar{\psi}_i (A_{ij} + B_{ij} \gamma_5) \psi_j \phi + \bar{\psi}_i [(A^\dagger)_{ij} - (B^\dagger)_{ij} \gamma_5] \psi_j \phi^*.$$

with arbitrary complex A, B . Assume that $\phi \xrightarrow{CP} i\phi$ and $\psi_i \xrightarrow{CP} Y_{ij}^* \psi_j^c$, $Y \in U(3)$. Then, invariance under CP implies

$$iY^\dagger A^T Y = A, \quad -iY^\dagger B^T Y = B.$$

Although $\text{Tr} A^k = 0$ and $\det A = 0$, we still find Y and non-zero A, B .

Yukawas for CP-half-odd scalars

In the basis, where Y takes its simplest form

$$Y = \begin{pmatrix} e^{i\beta} & 0 & 0 \\ 0 & 0 & e^{i\alpha} \\ 0 & e^{-i\alpha} & 0 \end{pmatrix},$$

the solutions are

$$\alpha = -\frac{\pi}{4} + \pi k \quad A = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & a_{23} \\ 0 & 0 & 0 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & b_{32} & 0 \end{pmatrix};$$

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$$\alpha = \pm \frac{\pi}{2} \quad A = \begin{pmatrix} 0 & a_{12} & a_{13} \\ \mp e^{i\beta} a_{13} & 0 & 0 \\ \pm e^{i\beta} a_{12} & 0 & 0 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & b_{12} & b_{13} \\ \pm e^{i\beta} b_{13} & 0 & 0 \\ \mp e^{i\beta} b_{12} & 0 & 0 \end{pmatrix}.$$

Yukawas for CP4-3HDM

In CP4-3HDM, the charged lepton sector (here, $\phi_a \equiv \phi_a^0$):

$$-\mathcal{L}_Y = \bar{\ell}_{Li} \Gamma_{ij}^a \ell_{Rj} \phi_a + \bar{\ell}_{Ri} (\Gamma_{ij}^a)^\dagger \ell_{Lj} \phi_a^*.$$

Take $\phi_a \xrightarrow{CP} X_{ab} \phi_b^*$, with the same X as before, and $\ell_i \xrightarrow{CP} Y_{ij}^* \ell_j^c$. Then,

$$Y^\dagger \Gamma_1^* Y = \Gamma_1, \quad -i Y^\dagger \Gamma_2^* Y = \Gamma_3, \quad i Y^\dagger \Gamma_3^* Y = \Gamma_2.$$

We switch to the fermion basis in which

$$Y = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & e^{i\alpha} \\ 0 & e^{-i\alpha} & 0 \end{pmatrix},$$

CP-conservation requires that fermions be degenerate: $m_2 = m_3$.

Yukawas for CP4-3HDM

- case 1: $\alpha = \pm\pi/4 + \pi k$, order-8 transformation.

$$\Gamma_1 = \begin{pmatrix} g_1 & 0 & 0 \\ 0 & g_2 & 0 \\ 0 & 0 & g_2^* \end{pmatrix}, \quad \Gamma_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & g_{23} \\ 0 & g_{32} & 0 \end{pmatrix}, \quad \Gamma_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & \pm g_{32}^* \\ 0 & \mp g_{23}^* & 0 \end{pmatrix}.$$

- case 2: $\alpha = \pm\pi/2$, order-4 transformation.

$$\Gamma_1 = \begin{pmatrix} g_1 & 0 & 0 \\ 0 & g_2 & g_3 \\ 0 & -g_3^* & g_2^* \end{pmatrix}, \quad \Gamma_2 = \begin{pmatrix} 0 & g_{12} & g_{13} \\ g_{21} & 0 & 0 \\ g_{31} & 0 & 0 \end{pmatrix}, \quad \Gamma_3 = \pm \begin{pmatrix} 0 & -g_{13}^* & g_{12}^* \\ g_{31}^* & 0 & 0 \\ -g_{21}^* & 0 & 0 \end{pmatrix}.$$

Yukawas for CP4-3HDM

Explicitly, in case 1 [notation: $(e, \mu, \tau) = (\ell_1, \ell_2, \ell_3)$]:

$$-\mathcal{L}_Y = (\bar{\mu}\tau)(g\Phi - \tilde{g}\varphi) + (\bar{\tau}\gamma_5\mu)(\tilde{g}^*\Phi + g^*\varphi) + h.c.,$$

where

$$g = \frac{c_\gamma g_{23} - s_\gamma g_{32}^*}{\sqrt{2}}, \quad \tilde{g} = \frac{s_\gamma g_{23} + c_\gamma g_{32}^*}{\sqrt{2}}, \quad \tan 2\gamma = -\lambda_6/\lambda_5.$$

Notice that **fermion bilinears are CP-half-odd**, and that insertion of γ_5 introduces an extra “CP-oddness” as in the usual case:

$$\bar{\mu}\tau \xrightarrow{CP} -i\bar{\mu}\tau, \quad \bar{\tau}\mu \xrightarrow{CP} i\bar{\tau}\mu, \quad \bar{\mu}\gamma_5\tau \xrightarrow{CP} i\bar{\mu}\gamma_5\tau, \quad \bar{\tau}\gamma_5\mu \xrightarrow{CP} -i\bar{\tau}\gamma_5\mu.$$

CP-half-odd scalars **do not have to be inert.**

Remarks on phenomenology

- Does a model based on CP4 lead to **any phenomenological signal** which cannot be mimicked by any usual CP-conserving model?

$$(\mathcal{CP})a_{\Phi}^{\dagger}a_{\Phi}^{\dagger}(\mathcal{CP})^{-1} = -a_{\Phi}^{\dagger}a_{\Phi}^{\dagger}.$$

We get a **CP-odd pair of two identical bosons** → any pheno signal?

- A variation on **inert doublet model** based on CP4 instead of $CP + \mathbb{Z}_2$: fully calculable, can be readily tested against collider data and DM searches.
- If CP4 is **spontaneous broken**, can CP4-3HDM with Yukawas reproduce the quark sector?
- Any specific predictions for the neutrino sector?

Conclusions

- CP4-3HDM is the simplest model featuring **CP-half-odd scalars**: $\Phi \xrightarrow{CP} i\Phi$. Their origin is the **extra freedom of basis change** arising in models with mass-degenerate gauge-blind scalars.
- No fine-tuning is required: the gauge blindness, mass degeneracy, and CP-half-oddness **appear naturally** within CP4-3HDM.
- The CP-half-odd scalars do not have to be inert: **they can couple to fermions in CP-conserving way**.
- The model is compact, analytically tractable, and can have interesting phenomenological consequences.