

# Basis-invariant conditions for CP4 3HDM

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- 1 Model building with exotic CP
- 2 Basis-invariant conditions: 2HDM example
- 3 Basis-invariant conditions of CP4
- 4 Conclusions

# CP symmetry in the SM and beyond

- SM: CKM matrix as the only source of *CP*-violation.
- New opportunities in bSM models with extended Higgs sectors.
  - New Higgses may be *the source* of the complex CKM [T.D.Lee, 1973] and mediate additional *CP*-violation [Weinberg, 1976; Branco, 1979];
  - CP symmetry can be a member of a larger *flavour symmetry* group; see e.g. [King, 1701.04413];
  - *Exotic CP symmetries* with consequences for model-building.

Many bSM models with extended Higgs sectors are on the market, with CP playing various roles [Branco, Lavoura, Silva, 1999; Ivanov, 1702.03776].

# Freedom of defining CP

In QFT, CP is not uniquely defined *a priori*.

- phase factors  $\phi(\vec{r}, t) \xrightarrow{CP} e^{i\alpha} \phi^*(-\vec{r}, t)$  [Feinberg, Weinberg, 1959],
- with  $N$  scalar fields  $\phi_i$ , the general CP transformation is

$$J: \quad \phi_i \xrightarrow{CP} X_{ij} \phi_j^*, \quad X \in U(N).$$

If  $\mathcal{L}$  is invariant under such  $J$  with whatever  $X$ , it is explicitly CP-conserving [Grimus, Rebelo, 1997; Branco, Lavoura, Silva, 1999].

- **NB:** The “standard” convention  $\phi_i \xrightarrow{CP} \phi_i^*$  is basis-dependent!

# Freedom of defining CP

$$J: \phi_i \xrightarrow{CP} X_{ij} \phi_j^*, \quad X \in U(N),$$

Applying  $J$  twice leads to family transformation  $J^2 = XX^*$  which may be non-trivial. It may happen that only  $J^k = \mathbb{I}$  ( $k = \text{power of } 2$ ).

*CP*-symmetry does not have to be of order 2

The usual CP = CP2, the first non-trivial is CP4, then CP8, CP16, etc.

What about CP6?

Well,  $\mathbb{Z}_6 \simeq \mathbb{Z}_2 \times \mathbb{Z}_3 \Rightarrow \text{CP6} = \text{usual CP} \times \mathbb{Z}_3$ .

# FAQ on CP4

1. Is it possible to build a multi-Higgs model **only with CP4**, without usual CP?

Yes, but with  $N \geq 3$  Higgs doublets [[Ivanov, Keus, Vdovin, 2012](#); [Ivanov, Silva, 2016](#)].

2HDM with CP4 (aka maximally *CP*-symmetric model) has accidental symmetries including the usual CP [[Maniatis, von Manteuffel, Nachtmann, 2008](#); [Ferreira, Haber, Maniatis, Nachtmann, Silva, 2011](#)].

2. What about **higher-order CP**?

No problem. Examples of CP8 and CP16 5HDM in [[Ivanov, Laletin, 2018](#)].

3. Do such models **really conserve CP** in terms of observables?

Yes, they do, see e.g. [[Haber, OGREID, Osland, Rebelo, 2018](#)].

## FAQ on CP4

4. Is there an **observable** which distinguishes CP4 from usual CP?

Yes, see [[Haber, OGREID, OSLAND, REBELO, 2018](#)].

5. Can one extend CP4 to **quarks/neutrinos**?

Yes.

For quark sector, CP4 must be spontaneously broken [[Ferreira, Ivanov, Jimenez, Pasechnik, Serodio, 2018](#)].

For neutrinos, see e.g. a scotogenic model based on CP4 rather than  $\mathbb{Z}_2$  [[Ivanov, 2018](#)].

# Basis-invariant conditions of $CP$ conservation in 2HDM



# Basis-invariant methods

With  $N$  Higgs doublets, there is large freedom of **basis changes**:

$$\phi_a \mapsto U_{ab}\phi_b, \quad U \in U(N).$$

A symmetry can be evident in one basis and hidden in another  $\rightarrow$  **challenge!**

No change of physics content  $\rightarrow$  physics-relevant statements must be **basis-invariant**  $\rightarrow$  one needs basis-invariant criteria for various phenomena in NHDM such as  $CP$ -conservation.

Powerful recipe [[Botella, Silva, 1995](#)]:

- write down all couplings as tensors under basis changes,
- take their product and contract all indices  $\rightarrow$  **basis invariants**  $J_k$ ,
- find algebraically independent  $J_k$ ,
- link them to the phenomenon you study.

# Explicit $CP$ conservation in 2HDM scalar sector

The most general 2HDM potential:

$$V = Y_{ab}(\phi_a^\dagger\phi_b) + Z_{ab,cd}(\phi_a^\dagger\phi_b)(\phi_c^\dagger\phi_d),$$

or, in the explicit form,

$$\begin{aligned} V = & -\frac{1}{2} \left[ m_{11}^2(\phi_1^\dagger\phi_1) + m_{22}^2(\phi_2^\dagger\phi_2) + m_{12}^2(\phi_1^\dagger\phi_2) + m_{12}^{2*}(\phi_2^\dagger\phi_1) \right] \\ & + \frac{\lambda_1}{2}(\phi_1^\dagger\phi_1)^2 + \frac{\lambda_2}{2}(\phi_2^\dagger\phi_2)^2 + \lambda_3(\phi_1^\dagger\phi_1)(\phi_2^\dagger\phi_2) + \lambda_4(\phi_1^\dagger\phi_2)(\phi_2^\dagger\phi_1) \\ & + \left[ \frac{1}{2}\lambda_5(\phi_1^\dagger\phi_2)^2 + \lambda_6(\phi_1^\dagger\phi_1)(\phi_1^\dagger\phi_2) + \lambda_7(\phi_2^\dagger\phi_2)(\phi_1^\dagger\phi_2) + \text{h.c.} \right] \end{aligned}$$

It contains  $4 + 10 = 14$  free parameters.

# General 2HDM scalar sector

Checking **explicit CP-conservation** [Davidson, Haber, 2005; Gunion, Haber, 2005; Branco, Rebelo, Silva-Marcos, 2005]:

- There exists of a basis with **all coefs real**  $\rightarrow$  symmetry  $\phi_a \rightarrow \phi_a^*$ .
- Construct invariants with  $Y_{ab}$  and  $Z_{ab,cd}$  and establish independent ones;
- Basis-invariant criterion: check the following **four invariants**

$$\begin{aligned} \text{Im}(Z_{ac}^{(1)} Z_{eb}^{(1)} Z_{be,cd} Y_{da}) &= 0, & \text{Im}(Y_{ab} Y_{cd} Z_{ba,df} Z_{fc}^{(1)}) &= 0, \\ \text{Im}(Z_{ab,cd} Z_{bf}^{(1)} Z_{dh}^{(1)} Z_{fa,jk} Z_{kj,mn} Z_{nm,hc}) &= 0, \\ \text{Im}(Z_{ac,bd} Z_{ce,dg} Z_{eh,fq} Y_{ga} Y_{hb} Y_{qf}) &= 0, & \text{where } Z_{ac}^{(1)} &\equiv Z_{ab,bc}. \end{aligned}$$

Not very human-friendly, though.

# Bilinear space formalism

Geometric constructions in the bilinear space [Nachtmann et al, 2004–2007; Ivanov, 2006–2007; Nishi, 2006–2008] is extremely powerful for the 2HDM scalar sector.

$V$  depends on bilinears  $\phi_a^\dagger \phi_b$ . Organize them into combinations:

$$r_0 = \phi_a^\dagger \phi_a \equiv \phi_1^\dagger \phi_1 + \phi_2^\dagger \phi_2, \quad r_i = \phi_a^\dagger \sigma_{ab}^i \phi_b \equiv \begin{pmatrix} 2\text{Re}(\phi_1^\dagger \phi_2) \\ 2\text{Im}(\phi_1^\dagger \phi_2) \\ (\phi_1^\dagger \phi_1) - (\phi_2^\dagger \phi_2) \end{pmatrix},$$

which satisfy  $r_0 \geq 0$  and  $r_0^2 - r_i^2 \geq 0$ .

Basis change: an  $SO(3)$  rotation;  $CP$ -transformation: a **mirror reflection**.

The general 2HDM Higgs potential is a quadratic form in  $(r_0, r_i)$ :

$$V = -M_0 r_0 - M_i r_i + \Lambda_{00} r_0^2 + \Lambda_{0i} r_0 r_i + \Lambda_{ij} r_i r_j.$$

# 2HDM Higgs potential

Geometrically, 2HDM scalar sector = two scalars  $M_0, \Lambda_{00}$ , two 3-vectors  $M_i$  and  $L_i = \Lambda_{0i}$ , and a symmetric tensor  $\Lambda_{ij}$ .



All symmetries are encoded in the orientation of  $M_i$  and  $L_i$  with respect to **eigenvectors of  $\Lambda_{ij}$** .

Direct way to (human-derived) basis-independent quantities.

# Explicit $CP$ -conservation in 2HDM

The potential is  $CP$ -conserving if it possesses a **reflection symmetry**, which implies that:

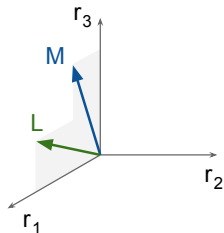
There exists an eigenvector of  $\Lambda_{ij}$  **orthogonal to  $M_i$  and  $L_i$** .

That's the answer.

In algebraic form:

$$(M, M^{(1)}, M^{(2)}) = (M, M^{(1)}, L^{(2)}) = (M, L^{(1)}, L^{(2)}) = (L, L^{(1)}, L^{(2)}) = 0,$$

where  $M^{(k)} \equiv \Lambda^k M$  and  $(a, b, c) = \epsilon_{ijk} a_i b_j c_k$ .



# Intermezzo



It is not obligatory to use basis invariants!

One can formulate **basis-invariant statements** in terms of **basis-covariant objects**, which can be more compact and transparent.



# Basis-invariant conditions of CP4 symmetry in 3HDM

## CP4 3HDM

The scalar potential of CP4 3HDM [Ivanov, Silva, 2016]  $V = V_0 + V_1$  can be written in a suitable basis as (notation:  $i \equiv \phi_i$ ):

$$V_0 = -m_{11}^2(1^\dagger 1 + 2^\dagger 2) - m_{33}^2(3^\dagger 3) + \lambda_1 \left[ (1^\dagger 1)^2 + (2^\dagger 2)^2 \right] + \lambda_2(3^\dagger 3)^2 + \\ + \lambda_3(3^\dagger 3)(1^\dagger 1 + 2^\dagger 2) + \lambda'_3(1^\dagger 1)(2^\dagger 2) + \lambda_4 \left( |1^\dagger 3|^2 + |2^\dagger 3|^2 \right) + \lambda'_4 |1^\dagger 2|^2,$$

with all parameters real, and

$$V_1 = \frac{\lambda_6}{2} \left[ (1^\dagger 3)^2 + (3^\dagger 2)^2 \right] + \lambda_8(1^\dagger 2)^2 + \lambda_9(1^\dagger 2) \left[ (1^\dagger 1) - (2^\dagger 2) \right] + h.c.$$

with real  $\lambda_6$  and complex  $\lambda_{8,9}$ . It is invariant under CP4  $J: \phi_i \xrightarrow{CP} X_{ij} \phi_j^*$  with

$$X = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad J^2 = \text{diag}(-1, -1, 1), \quad J^4 = \mathbb{I}.$$

# Bilinears for 3HDM

Bilinear approach for 3HDM:

$$r_0 = \frac{1}{\sqrt{3}}\phi_a^\dagger\phi_a, \quad r_i = \phi_a^\dagger(t^i)_{ab}\phi_b, \quad i = 1, \dots, 8,$$

where  $t_i = \lambda_i/2$  are  $SU(3)$  generators satisfying

$$[t_i, t_j] = if_{ijk}t_k, \quad \{t_i, t_j\} = \frac{1}{3}\delta_{ij}\mathbf{1}_3 + d_{ijk}t_k.$$

The potential takes the same form

$$V = -M_0 r_0 - M_i r_i + \Lambda_{00} r_0^2 + L_i r_0 r_i + \Lambda_{ij} r_i r_j,$$

with vectors  $M_i, L_i \in \mathbb{R}^8$  and an  $8 \times 8$  matrix  $\Lambda_{ij}$ .

Basis changes  $\rightarrow SO(8)$  rotations. However,  $SU(3) \subset SO(8) \Rightarrow$  matrix  $\Lambda_{ij}$  is not in general diagonalizable by a basis change!

# CP2 vs CP4

Standard CP,  $\phi_a \rightarrow \phi_a^*$ , corresponds to a particular reflection:

- vectors from  $V_+ = (r_3, r_8, r_1, r_4, r_6)$  stay unchanged,
- vectors from  $V_- = (r_2, r_5, r_7)$  flip signs.

3HDM potential is **explicitly CP2-invariant** if there exists a basis in which

- vectors  $M_i, L_i \in V_+$ ,
- $\Lambda_{ij}$  has the block-diagonal form, with a  $5 \times 5$  block in  $V_+$  and a  $3 \times 3$  block in  $V_-$ .

# CP2 vs CP4

CP4,  $\phi_a \rightarrow X_{ab}\phi_b^*$ , leads in the bilinear space to

$$r_8 \rightarrow r_8, \quad (r_1, r_2, r_3) \rightarrow -(r_1, r_2, r_3)$$

$$r_4 \rightarrow r_6, \quad r_6 \rightarrow -r_4, \quad r_5 \rightarrow -r_7, \quad r_7 \rightarrow r_5.$$

3HDM potential is **explicitly CP4-invariant** if there exists a basis in which

(1)  $M_i, L_i$  are parallel to  $r_8$  and (2) matrix  $\Lambda_{ij}$  is

$$\Lambda_{ij} = \begin{pmatrix} \square_{3 \times 3} & 0 & 0 \\ 0 & \square_{4 \times 4} & 0 \\ 0 & 0 & \Lambda_{88} \end{pmatrix}$$

with an arbitrary  $3 \times 3$  block in the subspace  $(r_1, r_2, r_3)$  and a specific pattern in the  $4 \times 4$  block.

# CP2 vs CP4

CP2 and CP4 lead to different constraints. You can have

- CP2 without CP4 (usual  $CP$ -conserving 3HDM),
- CP4 without CP2 (but still perfectly  $CP$ -conserving),
- both CP2 and CP4 + a bunch of other accidental symmetries.

**NB:** trying to establish  $CP$  conservation via  $CP$ -odd basis invariants  $I_k = 0$  as e.g. in [de Medeiros Varzielas, King, Luhn, Neder, 2016] **cannot distinguish** the usual CP from CP4, CP8, etc.

One **MUST** go beyond  $CP$ -odd basis invariants!

# Self-alignment

Tensor  $d_{ijk}$  defines a non-linear action in the adjoint space. If  $a_i \in \mathbb{R}^8$ , let

$$b_i = \sqrt{3}d_{ijk}a_ja_k.$$

If  $b_i$  is parallel to  $a_i$ , we say that  $a_i$  is **self-aligned**.

$a_i$  is self-aligned  $\Leftrightarrow$  there is a basis in which  $a_i$  is along  $r_8$ .

Checking self-alignment of a vector is a basis-invariant way of detecting the all-important  $r_8$  direction.

# Complete alignment in CP4 3HDM

CP4 3HDM in the adjoint space:  $M_i = (0, \dots, M_8)$ ,  $L_i = (0, \dots, L_8)$ , and

$$\Lambda_{ij} = \begin{pmatrix} \lambda'_4 + \text{Re}\lambda_8 & -\text{Im}\lambda_8 & 2\text{Re}\lambda_9 & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ -\text{Im}\lambda_8 & \lambda'_4 - \text{Re}\lambda_8 & -2\text{Im}\lambda_9 & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ 2\text{Re}\lambda_9 & -2\text{Im}\lambda_9 & 2\lambda_1 - \lambda'_3 & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \lambda_4 + \lambda_6 & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \lambda_4 - \lambda_6 & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \lambda_4 + \lambda_6 & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \lambda_4 - \lambda_6 & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \lambda_4 - \lambda_6 & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \Lambda_{88} \end{pmatrix}.$$

Define  $K_i = d_{ijk}\Lambda_{jk}$  and  $K_i^{(n)} = d_{ijk}(\Lambda^n)_{jk} \rightarrow$  all  $K_i^{(n)} = (0, \dots, 0, K_8^{(n)})$ .

In short, all vectors  $M_i$ ,  $L_i$ ,  $K_i^{(n)}$  are parallel and self-aligned.



# Complete alignment in CP4 3HDM

## Complete alignment:

In CP4 3HDM, all adjoint space vectors constructed from arbitrary number of  $M_i$ ,  $L_i$ , and  $\Lambda_{ij}$  and connected via arbitrarily complicated network of invariant tensors  $\delta_{ij}$ ,  $d_{ijk}$ ,  $f_{ijk}$  are parallel and possess the self-alignment property.

Is this property unique to CP4 3HDM?

# Complete alignment in $S_3$ 3HDM

No!

$CP$ -violating  $S_3$  3HDM has potential  $V = V_0 + V_{S_3}$  with

$$V_{S_3} = \lambda_5(\phi_1^\dagger\phi_3)(\phi_2^\dagger\phi_3) + \lambda' \left[ (\phi_2^\dagger\phi_1)(\phi_3^\dagger\phi_1) + (\phi_1^\dagger\phi_2)(\phi_3^\dagger\phi_2) \right] + h.c.$$

and it also exhibits complete alignment:

$$\Lambda_{ij} = \begin{pmatrix} \lambda'_4 & \cdot & \cdot & \text{Re}\lambda' & \text{Im}\lambda' & \text{Re}\lambda' & \text{Im}\lambda' & \cdot \\ \cdot & \lambda'_4 & \cdot & \text{Im}\lambda' & -\text{Re}\lambda' & -\text{Im}\lambda' & \text{Re}\lambda' & \cdot \\ \cdot & \cdot & 2\lambda_1 - \lambda'_3 & \cdot & \cdot & \cdot & \cdot & \cdot \\ \text{Re}\lambda' & \text{Im}\lambda' & \cdot & \lambda_4 & \cdot & \lambda_5 & \cdot & \cdot \\ \text{Im}\lambda' & -\text{Re}\lambda' & \cdot & \cdot & \lambda_4 & \cdot & -\lambda_5 & \cdot \\ \text{Re}\lambda' & -\text{Im}\lambda' & \cdot & \lambda_5 & \cdot & \lambda_4 & \cdot & \cdot \\ \text{Im}\lambda' & \text{Re}\lambda' & \cdot & \cdot & -\lambda_5 & \cdot & \lambda_4 & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \Lambda_{88} \end{pmatrix},$$

in spite of absence of the nice block-diagonal structure.

# Complete alignment



Complete alignment signals the presence of **2D irrep**, not a higher-order CP.

One needs to look deeper into the properties of  $\Lambda_{ij}$  to distinguish CP4 3HDM from  $S_3$  3HDM.

# CP4 3HDM

The defining feature of CP4 3HDM is complete alignment and the block-diagonal structure

$$\Lambda_{ij} = \begin{pmatrix} \square_{3 \times 3} & 0 & 0 \\ 0 & \square_{4 \times 4} & 0 \\ 0 & 0 & \Lambda_{88} \end{pmatrix}$$

That is, three eigenvectors of  $\Lambda_{ij}$  belong to the  $(r_1, r_2, r_3)$  subspace.

Vectors from this subspace can be recognized in the basis-invariant way!

If  $v_i^{(8)}$  is the eigenvector along  $r_8$ , then

$$a_i \in (r_1, r_2, r_3) \Leftrightarrow f_{ijk} a_j v_k^{(8)} = 0.$$

That is,  $a_i$  is  $f$ -orthogonal to  $v_i^{(8)}$ .

# Necessary and sufficient conditions for CP4 in 3HDM

A **basis-invariant algorithm** for recognizing the presence of CP4 in 3HDM.

Write down  $M_i$ ,  $L_i$ ,  $\Lambda_{ij}$ . Calculate eigenvectors of  $\Lambda_{ij}$ .

The model possesses CP4 if and only if

- there exists a **self-aligned eigenvector**:  $d_{ijk} v_j^{(8)} v_k^{(8)}$  is parallel to  $v_i^{(8)}$ ;
- there exist **three eigenvectors** which are  $f$ -orthogonal to  $v_i^{(8)}$ :  
 $f_{ijk} v_j^{(\alpha)} v_k^{(8)} = 0$ .
- $M_i$ ,  $L_i$ ,  $K_i = d_{ijk} \Lambda_{jk}$ , and  $K_i^{(2)} = d_{ijk} (\Lambda^2)_{jk}$  are aligned with  $v_i^{(8)}$ .

# Conclusions

- CP4 3HDM is the simplest model exhibiting higher-order  $CP$  symmetry. It possesses remarkable structural properties, leads to unusual phenomenology, which is worth exploring in detail.
- Detecting the presence of CP4 without usual CP in a **basis-invariant way** is a challenging task. The usual approach based on  $CP$ -odd invariants  $I_k = 0$  **cannot recognize it**.
- Using the bilinear approach, we established **basis-invariant necessary and sufficient conditions** for the presence of CP4 in 3HDM.

## Lessons

There exist **physically distinct forms of  $CP$  symmetry**.

To recognize them, one must go **beyond  $CP$ -odd basis invariants**.