

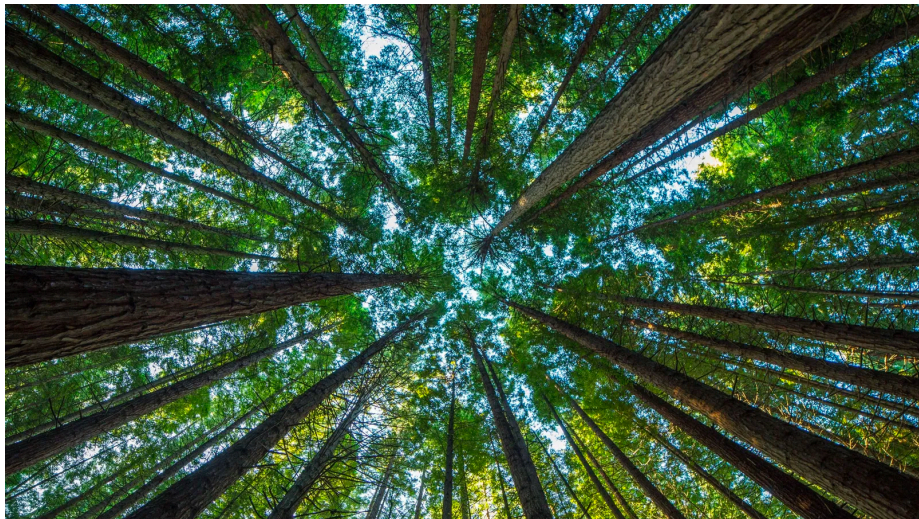
# The general THDM in a gauge-invariant form

Markos Maniatis  
in collab. with Ingo Schienbein, Lohan Sartore, Björn Herrmann

Center of Exact Sciences, UBB Chile

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# Sometimes we can't see the forest for the trees



- Gauge symmetry hidden after electroweak symmetry breaking
- Principal ideal: Keep gauge symmetry manifest.
- Let us revisit the Standard Model

- SM: One Higgs-boson doublet

$$\varphi(x) = \begin{pmatrix} \varphi^+(x) \\ \varphi^0(x) \end{pmatrix}$$

- Domain:  $\varphi^+, \varphi^0 \in \mathbb{C}$
- SM potential

$$V_{SM} = -\mu^2 \varphi^\dagger \varphi + \lambda (\varphi^\dagger \varphi)^2$$

- Define real bilinear

$$K = \varphi^\dagger \varphi$$

- Domain:  $K \geq 0$

$$V_{SM} = -\mu^2 K + \lambda K^2$$

- Electroweak symmetry –

$$\langle K \rangle = 0 \quad \text{unbroken,} \quad \langle K \rangle > 0 \quad \text{partially broken}$$

- What do we have achieved?
  - ▶ Simple, real, potential - polynomial of degree two.
  - ▶ Gauge invariance manifest:  
Symmetries not hidden by unphysical gauge-degrees of freedom.



# Bilinears in the THDM

- Two doublets,  $\varphi_1, \varphi_2$
- Real gauge invariants

$$\varphi_1^\dagger \varphi_1, \quad \varphi_2^\dagger \varphi_2, \quad \text{Re}(\varphi_1^\dagger \varphi_2), \quad \text{Im}(\varphi_1^\dagger \varphi_2)$$

J. Velhinho, R. Santos and A. Barroso, Phys. Lett. B 322 (1994)

- Later real gauge invariant **bilinears** were introduced:

Nishi PRD 74 (2006), MM, Manteuffel, Nachtmann, Nagel EPJC 48 (2006)

$$\begin{aligned} K_0 &= \varphi_1^\dagger \varphi_1 + \varphi_2^\dagger \varphi_2, & K_1 &= \varphi_1^\dagger \varphi_2 + i\varphi_2^\dagger \varphi_1, \\ K_2 &= i\varphi_2^\dagger \varphi_1 - i\varphi_1^\dagger \varphi_2, & K_3 &= \varphi_1^\dagger \varphi_1 - \varphi_2^\dagger \varphi_2 \end{aligned}$$

- Bilinears derived from

$$\underline{K} = \begin{pmatrix} \varphi_1^\dagger \varphi_1 & \varphi_2^\dagger \varphi_1 \\ \varphi_1^\dagger \varphi_2 & \varphi_2^\dagger \varphi_2 \end{pmatrix} = \frac{1}{2} (K_0 \mathbb{1}_2 + K_i \sigma^i)$$

- One-to-one map  $\varphi_{1/2} \leftrightarrow K_0, K_1, K_2, K_3$  (except for unphysical gauge d.o.f.)

# EW symmetry breaking

- Domain  $K_0 \geq 0$ ,  $K_0^2 \geq K_1^2 + K_2^2 + K_3^2$ .

- EW symmetry at minimum

- ▶ Unbroken for

$$K_0 = 0 \implies V = 0$$

- ▶ Charge breaking for  $K_0 > 0$  and  $K_0^2 > K_1^2 + K_2^2 + K_3^2$

$$\text{cond: } \partial_\mu V \equiv \frac{\partial V}{\partial K^\mu} = 0$$

- ▶ Neutral for  $K_0 > 0$  and  $K_0^2 = K_1^2 + K_2^2 + K_3^2$

$$\text{cond: } \partial_\mu (V - u(K_0^2 - K_1^2 - K_2^2 - K_3^2)) = 0$$

Lagrange multiplier  $u$ , minimum at  $K_0, K_1, K_2, K_3, u$ .



- Minkowski-like four vector out of bilinears

$$(K^\mu) := \begin{pmatrix} K_0 \\ \mathbf{K} \end{pmatrix} = \begin{pmatrix} K_0 \\ K_1 \\ K_2 \\ K_3 \end{pmatrix} \quad \text{with } K_0^2 - \mathbf{K}^2 \geq 0$$

- Dimensionless bilinears for  $K_0 > 0$

$$k = \frac{\mathbf{K}}{K_0}$$

- Example: Most general tree THDM potential

$$V = \xi_0 K_0 + \boldsymbol{\xi}^T \mathbf{K} + \eta_{00} K_0^2 + 2K_0 \boldsymbol{\eta}^T \mathbf{K} + \mathbf{K}^T \mathbf{E} \mathbf{K},$$

...gauge symmetry manifest

# Change of basis

- Consider the following mixing of the doublets

$$\begin{pmatrix} \varphi'_1 \\ \varphi'_2 \end{pmatrix} = U \begin{pmatrix} \varphi_1 \\ \varphi_2 \end{pmatrix}.$$

with unitary  $2 \times 2$  matrix  $U$ .

- The gauge invariant functions transform as

$$K'_0 = K_0, \quad \mathbf{K}' = R(U)\mathbf{K},$$

- $R$  proper rotation

# Symmetries

- Symmetries straightforward to study

see, for instance Ferreira/MM/Nachtmann/Silva JHEP08 (2010),

Bento/Boto/Silva/Trautner JHEP21 (2020)

- Example Standard CP symmetry

$$\varphi_{1/2}(x) \xrightarrow{\text{CP}} \varphi_{1/2}^*(x'), \quad x = (t, \mathbf{x})^T, \quad x' = (t, -\mathbf{x})^T$$

- In terms of bilinears

Nishi PRD74 (2006), MM/Manteuffel/Nachtmann EPJC57 (2008),

Ferreira/Haber/MM/nachtmann/Silva IJMPA26 (2011)

$$K_0 \xrightarrow{\text{CP}} K_0, \quad \begin{pmatrix} K_1 \\ K_2 \\ K_3 \end{pmatrix} \xrightarrow{\text{CP}} \begin{pmatrix} K_1 \\ -K_2 \\ K_3 \end{pmatrix}$$

# The complete THDM gauge invariantly

- Up to now only potential considered.
- How to study gauge, Yukawa couplings, potential beyond tree level?
- Key: study mass matrices gauge invariantly.
- Derive couplings from mass matrices.

- Expand the doublets

$$\varphi_1 = \begin{pmatrix} \varphi_1^+ \\ \varphi_1^0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \pi_1^1 + i\sigma_1^1 \\ \pi_1^2 + i\sigma_1^2 \end{pmatrix},$$
$$\varphi_2 = \begin{pmatrix} \varphi_2^+ \\ \varphi_2^0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \pi_2^1 + i\sigma_2^1 \\ \pi_2^2 + i\sigma_2^2 \end{pmatrix}.$$

- Real component fields

$$\phi = (\pi_1^1, \pi_1^2, \sigma_1^1, \sigma_1^2, \pi_2^1, \pi_2^2, \sigma_2^1, \sigma_2^2)^T, \quad \text{with } \phi \in \mathbb{R}^8.$$

- Mass matrix in terms of the 8 component fields:

$$(M_s^2)_{ij} = \frac{\partial^2}{\partial \phi_i \partial \phi_j} V, \quad i, j \in \{1, \dots, 8\}$$

- Mass matrix in terms of bilinears:

$$\mathcal{M} = (\mathcal{M}_{\mu\nu}) = \frac{\partial^2}{\partial K^\mu \partial K^\nu} V \equiv \partial_\mu \partial_\nu V, \quad \mu, \nu \in \{0, \dots, 3\}$$

- How do we get from  $M_s^2$  to  $\mathcal{M}$ ?

- Establish first connection between component fields and bilinears.
- Write bilinears in terms of the component fields.

$$K^\mu \equiv \frac{1}{2} \Delta_{ij}^\mu \phi^i \phi^j, \quad i, j \in \{1, \dots, 8\}$$

with constants  $\Delta_{ij}^\mu$  (four  $8 \times 8$  matrices).

- Connection from (gauge-dependent) components to bilinears:

$$\Gamma_i^\mu \equiv \frac{\partial K^\mu}{\partial \phi^i} = \partial_i K^\mu = \Delta_{ij}^\mu \phi^j$$

$\Gamma$  is  $8 \times 4$  matrix

- Now we can write the mass matrix in terms of bilinears

$$(M_s^2)_{ij} = \partial_i \partial_j V = \partial_i \left( \Gamma_j^\mu \partial_\mu V \right) = \Delta_{ij}^\mu \partial_\mu V + \Gamma_i^\mu \Gamma_j^\nu \partial_\mu \partial_\nu V.$$

- In matrix notation

$$M_s^2 = \Delta^\mu \partial_\mu V + \Gamma \mathcal{M} \Gamma^T, \quad \text{with } \mathcal{M} = (\mathcal{M}_{\mu\nu})$$

- Going to a specific basis where  $\Gamma$  is very simple.
- Diagonalization  $M_s^2 \rightarrow \bar{M}_s^2$ .



- In the neutral minimum case we get

$$\bar{M}_s^2 = \begin{pmatrix} 0_{3 \times 3} & & \\ & \bar{\mathcal{M}}_{\text{charged}}^2 & \\ & & \bar{\mathcal{M}}_{\text{neutral}}^2 \end{pmatrix}.$$

with

$$\bar{\mathcal{M}}_{\text{charged}}^2 = \text{diag} (m_{H^\pm}^2, m_{H^\pm}^2) = \text{diag} (4uK_0, 4uK_0)$$

neutral part, with  $R$  diagonalization matrix,

$$\bar{\mathcal{M}}_{\text{neutral}}^2 = \text{diag} (m_1^2, m_2^2, m_3^2) = R\gamma_3 (\mathcal{M} - 2u\tilde{g}) \gamma_3^T R^T$$

with

$$\tilde{g} = \text{diag} (1, -1, -1, -1), \quad \gamma_3 = \sqrt{\frac{2}{K_0}} (\mathbf{K}, \mathbb{1}_3)$$

- What have we found?
- Mass matrix manifestly gauge invariant.
- Potential not specified!

- In particular, the charged part reads

$$m_{H^\pm}^2 = 4uK_0.$$

- Valid in any THDM at any perturbation order - even for effective models!

# THDM Couplings

- Compute the couplings gauge invariantly, for instance

$$\lambda_{ijk} = (\partial_i M_s^2)^{jk} = \left( \Delta_{ij}^\mu \Gamma_k^\nu + \Delta_{ik}^\mu \Gamma_j^\nu + \Delta_{jk}^\mu \Gamma_i^\nu \right) \mathcal{M}_{\mu\nu}$$

- Example

$h - H^\pm - H^\pm$

$$\lambda_{h_a H^\pm H^\pm} = \frac{1}{\sqrt{2K_0}} \left( 8K_0 (\eta_{00} k^a + \eta^a) - k^a m_a^2 \right)$$

# How to deal with Yukawa couplings?

- Yukawa couplings linear in Higgs doublets, bilinears not.
- Yukawa couplings gauge invariant - expressible in terms of bilinears!
- Trick: For neutral vacuum rank of  $\underline{K}$  is one:

$$\bar{K}^a{}_b = \frac{1}{2} \bar{K}^\mu (\sigma_\mu)^a{}_b \equiv \bar{\kappa}^a \bar{\kappa}_b^*.$$

- Example, Yukawa term

$$-\mathcal{L}_Y = \bar{Q}_L (y_u \tilde{\varphi}_1 + \epsilon_u \tilde{\varphi}_2) u_R, \quad \text{with } \tilde{\varphi}_a = i\sigma_2 (\varphi_a^*)$$

- Neutral Higgs coupling  $h^a - u_L^\dagger - u_R$ ,

$$\frac{1}{\sqrt{2K_0}} (\sigma_a)^b{}_c \bar{\kappa}_b^* \bar{U}^c, \quad \text{with } (U^c) = \begin{pmatrix} y_u \\ \epsilon_u \end{pmatrix}$$

# Conclusion

- Mass matrices to all orders computed.
- Complete THDM formulated gauge invariantly.  
(Yukawa, scalar, gauge bosons couplings)
- Program of bilinears completed  
Sartore, MM, Schienbein, Herrmann, arXiv:2208.13719
- To do: Application to THDMs - beyond tree-level.



Thank you for your attention!



Centro de  
Ciencias Exactas  
Universidad del Bío-Bío

# $\Delta$ constants

$$K^\mu \equiv \frac{1}{2} \Delta_{ij}^\mu \phi^i \phi^j, \quad i, j \in \{1, \dots, 8\}.$$

$$\Delta^0 = \begin{pmatrix} \mathbb{1}_2 & & & \\ & \mathbb{1}_2 & & \\ & & \mathbb{1}_2 & \\ & & & \mathbb{1}_2 \end{pmatrix}, \quad \Delta^1 = \begin{pmatrix} & & \mathbb{1}_2 & \\ & & & \mathbb{1}_2 \\ \mathbb{1}_2 & & & \\ & \mathbb{1}_2 & & \end{pmatrix},$$

$$\Delta^2 = \begin{pmatrix} & & & \mathbb{1}_2 \\ & & -\mathbb{1}_2 & \\ & -\mathbb{1}_2 & & \\ \mathbb{1}_2 & & & \end{pmatrix}, \quad \Delta^3 = \begin{pmatrix} & & & \\ & & \mathbb{1}_2 & \\ & \mathbb{1}_2 & & \\ & & -\mathbb{1}_2 & \\ & & & -\mathbb{1}_2 \end{pmatrix},$$

# Bilinears linear

- $\bar{K}$  has rank 1 for neutral vacuum:

$$\bar{K}^a{}_b = \frac{1}{2} \bar{K}^\mu (\sigma_\mu)^a{}_b \equiv \bar{\kappa}^a \bar{\kappa}_b^* .$$

Explicitly,  $\bar{\kappa}$  can be written

$$\bar{\kappa} = \sqrt{\frac{K_0}{2}} \frac{1}{\sqrt{1 + \bar{k}_3}} \begin{pmatrix} 1 + \bar{k}_3 \\ \bar{k}_1 + i\bar{k}_2 \end{pmatrix} = \sqrt{\frac{K_0}{2}} \begin{pmatrix} \sqrt{1 + \bar{k}_3} \\ \sqrt{1 - \bar{k}_3} e^{i\zeta} \end{pmatrix} ,$$

where the phase  $\zeta$  was defined such that

$$\bar{k}_1 + i\bar{k}_2 = \sqrt{\bar{k}_1^2 + \bar{k}_2^2} e^{i\zeta} = \sqrt{1 - \bar{k}_3^2} e^{i\zeta} .$$



- In terms of bilinears, for instance for the charge conserving EW breaking case:

$$\widehat{M}_s^2 \stackrel{CC}{=} 2u \begin{pmatrix} A_{55} & 0_{5 \times 3} \\ 0_{3 \times 5} & B_{33} \end{pmatrix} + \begin{pmatrix} 0_{5 \times 5} & 0_{5 \times 3} \\ 0_{3 \times 5} & \gamma_3 \mathcal{M} \gamma_3^T \end{pmatrix} = \begin{pmatrix} \widehat{\mathcal{M}}_{CC}^2 & 0_{5 \times 3} \\ 0_{3 \times 5} & \widehat{\mathcal{M}}_{\text{neutral}}^2 \end{pmatrix},$$

- SM: simple potential with one Higgs doublet

$$V_{SM} = -\mu^2 \varphi^\dagger \varphi + \lambda (\varphi^\dagger \varphi)^2$$

- Electroweak (spontaneous) - symmetry breaking for  $\mu^2 > 0$ , stability:  $\lambda > 0$ .

- Vacuum expectation value

$$\langle \varphi \rangle = \begin{pmatrix} 0 \\ v \end{pmatrix}, \quad v = \sqrt{\mu^2 / (\lambda)}$$

## Example: two doublets

- Two Higgs doublets  $\varphi_1 = \begin{pmatrix} \varphi_1^+ \\ \varphi_1^0 \end{pmatrix}$ ,  $\varphi_2 = \begin{pmatrix} \varphi_2^+ \\ \varphi_2^0 \end{pmatrix}$

- Matrix of doublets

$$\phi = \begin{pmatrix} \varphi_1^T \\ \varphi_2^T \end{pmatrix} = \begin{pmatrix} \varphi_1^+ & \varphi_1^0 \\ \varphi_2^+ & \varphi_2^0 \end{pmatrix}$$

- Building matrix of invariants

$$\underline{K} = \phi\phi^\dagger = \begin{pmatrix} \varphi_1^\dagger\varphi_1 & \varphi_2^\dagger\varphi_1 \\ \varphi_1^\dagger\varphi_2 & \varphi_2^\dagger\varphi_2 \end{pmatrix}$$

- Basis: Pauli matrices and unit matrix  $\sigma_0 = \mathbb{1}_2$

$$\underline{K} = \frac{1}{2}K_\alpha\sigma_\alpha, \quad \alpha = 0, 1, \dots, 3$$

- $K_\alpha = \text{tr}(\underline{K}\sigma_\alpha)$ , ( $\alpha = 0, \dots, 3$ ) translates to

$$\begin{aligned} \varphi_1^\dagger\varphi_1 &= (K_0 + K_3)/2, & \varphi_1^\dagger\varphi_2 &= (K_1 + iK_2)/2, \\ \varphi_2^\dagger\varphi_2 &= (K_0 - K_3)/2, & \varphi_2^\dagger\varphi_1 &= (K_1 - iK_2)/2 \end{aligned}$$

# Electroweak symmetry breaking

- EW symmetry breaking given by global minimum

$$\langle \phi \rangle = \left\langle \begin{pmatrix} \varphi_1^+ & \varphi_1^0 \\ \vdots & \vdots \\ \varphi_n^+ & \varphi_n^0 \end{pmatrix} \right\rangle = \begin{pmatrix} v_1^+ & v_1^0 \\ \vdots & \vdots \\ v_n^+ & v_n^0 \end{pmatrix}, \quad \langle \underline{K} \rangle = \langle \phi \rangle \langle \phi \rangle^\dagger$$

- Unbroken  $SU(2)_L \times U(1)_Y$  corresponds to  $\langle \underline{K} \rangle$  of rank 0,  $\underline{K} = 0$ ,  $\varphi_i = 0$ .
- Fully broken EW symmetry corresponds to  $\langle \underline{K} \rangle$  of rank 2
- $SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$  corresponds to  $\langle \underline{K} \rangle$  of rank 1

## Change of basis

- Consider the following unitary mixing of the doublets

$$\begin{pmatrix} \varphi_1(x)^T \\ \vdots \\ \varphi_n(x)^T \end{pmatrix} \rightarrow U \begin{pmatrix} \varphi_1(x)^T \\ \vdots \\ \varphi_n(x)^T \end{pmatrix}$$

- Bilinears transform as

$$\phi \rightarrow U\phi, \quad \underline{K} = \phi\phi^\dagger \rightarrow U\underline{K}U^\dagger$$

hence,

$$\begin{aligned} K_0 &= \text{tr}(\underline{K}\lambda_0) \rightarrow \text{tr}(U\underline{K}U^\dagger\lambda_0) = \text{tr}(U\underline{K}\lambda_0U^\dagger) = \text{tr}(\underline{K}\lambda_0) = K_0, \\ K_a &= \text{tr}(\underline{K}\lambda_a) \rightarrow \text{tr}(U\underline{K}U^\dagger\lambda_a) = \text{tr}\left(\underbrace{U^\dagger\lambda_aU}_{\equiv R_{ab}\lambda_b}U\underline{K}\right) = R_{ab}K_b \end{aligned}$$

- Change of basis correspond to proper rotations  $R = (R_{ab}) \in SO(n^2 - 1)$ .

- Under a change of basis

$$K_0 \rightarrow K_0, \quad K \rightarrow RK$$

The potential

$$V = \xi_0 K_0 + \xi^T K + \eta_{00} K_0^2 + 2K_0 \eta^T K + K^T E K$$

changes to

$$V' = \xi_0 K_0 + \xi^T R K + \eta_{00} K_0^2 + 2K_0 \eta^T R K + K^T R^T E R K$$

- Potential invariant,  $V = V'$ , iff

$$\xi = R \xi, \quad \eta = R \eta, \quad E = R E R^T.$$

# Symmetries

- Symmetry desirable to restrict nHDM.
- Symmetries easily formulated in terms of bilinears.

$$V = \xi_0 K_0 + \boldsymbol{\xi}^T \mathbf{K} + \eta_{00} K_0^2 + 2K_0 \boldsymbol{\eta}^T \mathbf{K} + \mathbf{K}^T \mathbf{E} \mathbf{K}$$

- Transformation  $K_0 \rightarrow K_0$ ,  $\mathbf{K} \rightarrow \bar{\mathbf{R}}\mathbf{K}$ ,  $\bar{\mathbf{R}} \in O(n^2 - 1)$  is symmetry of potential iff

$$\boldsymbol{\xi} = \bar{\mathbf{R}} \boldsymbol{\xi}, \quad \boldsymbol{\eta} = \bar{\mathbf{R}} \boldsymbol{\eta}, \quad \mathbf{E} = \bar{\mathbf{R}} \mathbf{E} \bar{\mathbf{R}}^T$$

- $\bar{\mathbf{R}} \in O(n^2 - 1)$ , keeping kinetic terms invariant.

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