

On the interplay between flavour anomalies and neutrino properties

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Work done in collaboration with:

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Workshop on Multi-Higgs Models - IST Lisbon



Despite its successes, the SM either **fails** or is **insufficient** in tackling various observations, with **anomalous results** popping up in recent years ...

- R_{D/D^*}

$$R_{D^{(*)}} \equiv \frac{\text{Br}(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu}_\tau)}{\text{Br}(\bar{B} \rightarrow D^{(*)} l \bar{\nu}_l)} \quad \text{with } l = \mu, e,$$

2.3 σ tension with the SM [[Eur. Phys. J. C 81, 226 \(2021\)](#)];

- R_{K/K^*}

$$R_K \equiv \frac{\text{Br}(B^+ \rightarrow K^+ \mu^- \mu^+)}{\text{Br}(B^+ \rightarrow K^+ e^- e^+)}, \quad R_{K^*} \equiv \frac{\text{Br}(B^0 \rightarrow K^{*0} \mu^- \mu^+)}{\text{Br}(B^0 \rightarrow K^{*0} e^- e^+)}$$

3.1 σ tension with the SM [[Nat. Phys. 18, 277–282 \(2022\)](#)];

- a_μ **4.2 σ** tension with the SM [[Phys. Rev. Lett. 126, 141801 \(2021\)](#)]. Although lattice results indicate consistency [[Nature 593 \(2021\) 7857, 51–55](#)].
- M_W **3.7 σ** tension with the SM [[2204.04204 \[hep-ph\]](#)]. Tension primarily driven by the new CDF result [[Science 376 \(2022\) 170–176](#)].

The goal: Study the most minimal model that can simultaneously address **all** aforementioned problems while allowing for a good neutrino phenomenology

$$\underline{R_{D/D^*} + R_{K/K^*} + a_\mu + M_W + U_{PMNS} + m_\nu}$$

The problem: Improvement of different observables will cause problems in different sectors

- **Lepton number violation:** $\mu \rightarrow e\gamma$, $\tau \rightarrow \mu\gamma$, $h \rightarrow \tau\mu$, ...
- **Lepton number conservation:** $Z^0 \rightarrow ee, \mu\mu, \tau\tau, \Gamma_Z$;
- **Meson mixing:** $\Delta M_D, \Delta M_S$;
- **CP sensitive observables:** $\epsilon_K, d_e/d_\mu/d_\tau$
- **Additional B-physics observables:** $R_{K/K^*}^{\nu\nu}, \text{BR}(B^0 \rightarrow \mu\mu), \text{BR}(B_s \rightarrow \mu\mu)$

In the most minimal setup, we expect different parameters to correlate with distinct sectors. Can make for a **difficult fit to data**.

The idea: Introduce colored scalar fields to connect **leptons to quarks**, i.e. **Leptoquarks!** Neutrino mass in the same vein as the one-loop Zee model [Phys.Lett.B 95, 461 (1980)]

The model:

- **One** SU(2) singlet: $S \sim (\bar{\mathbf{3}}, \mathbf{1}, 1/3)^{-}$;
- **One** SU(2) doublet: $R \sim (\mathbf{3}, \mathbf{2}, 1/6)^{-}$;
- Yukawas that generate proton decay $\rightarrow y_1 \bar{Q}^c Q S^\dagger + y_2 \bar{d} u S + \text{h.c.}$

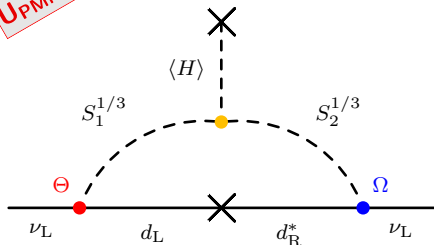
$$\mathbb{Z}_2 \text{ symmetry: } \mathbb{P}_B = (-1)^{3B+2S} \implies S^-, R^-, q_{L,R}^+, \ell_{L,R}^-, H^-$$

Group charges and particles are emergent in a gauge-flavour unification model based on $E_6 \times \text{SU}(3)_F$ [Eur. Phys. J. C 80, 1162 (2020)].

- Yukawa Lagrangian: $\mathcal{L}_Y = \Theta_{ij} \bar{Q}_j^c L_i S + \Omega_{ij} \bar{L}_i d_j R^\dagger + \Upsilon_{ij} \bar{u}_j^c e_i S + \text{h.c.}$
- Relevant scalar potential:

$$V \supset -\mu^2 |H|^2 + \mu_S^2 |S|^2 + \mu_R^2 |R|^2 + \lambda (H^\dagger H)^2 + g_{HR} (H^\dagger H) (R^\dagger R) + g'_{HR} (H^\dagger R) (R^\dagger H) + g_{HS} (H^\dagger H) (S^\dagger S) + (a_1 R S H^\dagger + \text{h.c.}) .$$

UPMNS + m_ν

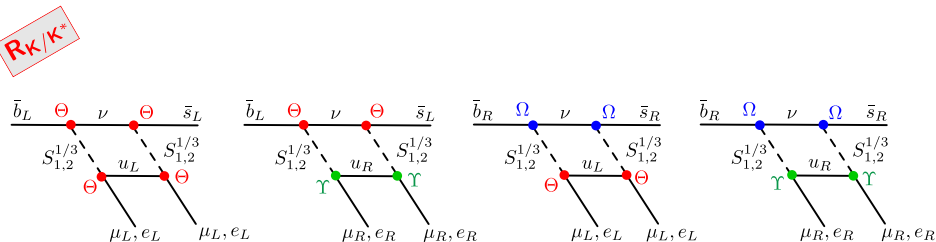


- Majorana neutrino mass and mixing generated at **one-loop** level. [JHEP 07, 069 (2021)]; [Phys. 5, 63 (2017),]
- Relevant operators:

$$\Theta_{ij} \bar{Q}_j^c L_i S + \Omega_{ij} \bar{L}_i d_i R^\dagger + a_1 R S H^\dagger$$
- Both Θ_{ij} and Ω_{ij} need to be generic matrices for valid PMNS. **No flavour ansatz.**

$$(M_\nu)_{ij} = \frac{3}{16\pi^2} \frac{v a_1}{(m_{S_2^{1/3}}^2 - m_{S_1^{1/3}}^2) \sqrt{2}} \ln \left(\frac{m_{S_2^{1/3}}^2}{m_{S_1^{1/3}}^2} \right) \sum_{m,a} (m_d)_a V_{am} (\Theta_{im} \Omega_{ja} + \Theta_{jm} \Omega_{ia})$$

Neutrino mass and mixing proportional to **down quark masses and the CKM**, V . Leptoquark mixing is a **necessary** ingredient, since $M_\nu = 0$ in the limit $a_1 \rightarrow 0$.



- New contributions to R_{K/K^*} generated at **one-loop** level. Involves **all** Yukawa couplings, Θ_{ij} , Ω_{ij} and Υ_{ij} ;

$$C_9^{bs\ell\ell}(\bar{s}\gamma^\mu P_L b)(\bar{l}\gamma_\mu \ell)$$

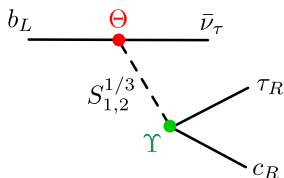
$$C_9^{\prime bs\mu\mu}(\bar{s}\gamma^\mu P_R b)(\bar{l}\gamma_\mu \ell)$$

$$C_{10}^{bs\ell\ell}(\bar{s}\gamma^\mu P_L b)(\bar{l}\gamma_\mu \gamma^5 \ell)$$

$$C_{10}^{\prime bs\mu\mu}(\bar{s}\gamma^\mu P_R b)(\bar{l}\gamma_\mu \gamma^5 \ell)$$

- Both **electron and muon** modes contribute. Wilson coefficients $C_{9,10}^{bs\ell\ell}$ lead to $R_{K/K^*} < 1$, whereas $C_{9,10}^{\prime bs\ell\ell}$ lead to $R_K < 1/R_{K^*} > 1$ or vice-versa [Eur. Phys. J. C. 81 (2021), 10, 952];

R_D/D^*



- Contributions for R_D/D^* at **tree-level**, via the exchange of the S leptoquark, through Θ_{ij} and Υ_{ij} ;
- R_D is dominated by the scalar operator $(\bar{c}b_L)(\bar{\tau}_R\nu_\tau) \rightarrow$ **Prefers real couplings**; [JHEP 01 (2017) 125]
- R_{D^*} is dominated by the pseudo-scalar operator $(\bar{c}\gamma_5 b_L)(\bar{\tau}_R\nu_\tau) \rightarrow$ **Prefers imaginary couplings**; [JHEP 01 (2017) 125]

Identical diagram with $\Upsilon \rightarrow \Theta$ leads to additional contributions to $R_{K,K^*}^{\nu\nu}$ [Phys.Rev.D 96, 091101 (2017)]. **Competition** between these observables.



$$\Pi_{WW}(0) = \text{Diagram 1} + \text{Diagram 2}$$

Diagram 1: A W boson line (wavy) enters from the left and exits to the right. A dashed loop is attached to the W line. The top part of the loop is labeled $S^{2/3}$ and the bottom part is labeled $S_{1,2}^{1/3}$.

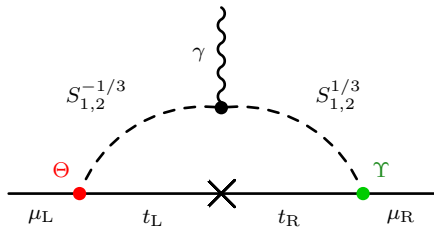
Diagram 2: A W boson line (wavy) enters from the left and exits to the right. A dashed loop is attached to the W line. The loop is labeled $S_{1,2}^{1/3}, S^{2/3}$ at the top.

Corrections to the W vacuum polarization self-energy Π_{WW} through virtual LQs [JHEP 11 (2020) 094]. The T parameter scale as

$$T \sim \frac{1}{\alpha M_W^2} \frac{\ln\left(\frac{m_{S^{2/3}}}{m_{S_a^{1/3}}}\right)}{\frac{m_{S^{2/3}}}{m_{S_a^{1/3}}} - 1} \implies m_{S^{2/3}} \neq m_{S_a^{1/3}} \text{ to have non-zero } T$$

The quartic parameters of the potential $g_{HR}(H^\dagger H)(R^\dagger R)$, $g'_{HR}(H^\dagger R)(R^\dagger H)$, and $g_{HS}(H^\dagger H)(S^\dagger S)$ lift the degeneracy

- g_{HR} , g'_{HR} and g_{HS} of $\mathcal{O}(1)$ for sizable effects



- Dominant one-loop contributions via chirality flip of the top quark [JHEP 07 (2021) 069];
- Relevant operators:
 $\Theta_{ij} \bar{Q}_j^c L_i S + \Upsilon_{ij} \bar{u}_j^c e_i S$
- Sub-leading contribution from the R LQ: $a_\mu \sim (m_\mu^2/m_{S^{2/3}}^2) |\Omega_{\mu q}|^2$.

$$(\Delta a_\mu^{S^{1/3}}) \sim -\frac{N_c m_\mu m_t}{16\pi^2 m_{S^{1/3}}^2} \text{Re}(\Theta_{\mu t} \Upsilon_{\mu t}^*) \ln\left(\frac{m_{S^{1/3}}^2}{m_t^2}\right) + \mathcal{O}\left(m_\mu^2 (|\Theta_{\mu t}|^2 + |\Upsilon_{\mu t}|^2)\right)$$

Other pairings induce **LFV's** e.g. $\Theta_{\mu t} \Upsilon_{et}^*$ induces $\mu \rightarrow e\gamma$, so there must some **hierarchy in the Yukawa couplings**.

Main observables

LFVs

EDMs

QFVs

LFCs

Observable	Experimental measurement
a_μ	$(251 \pm 59) \times 10^{-11}$
T	$(0.88 \pm 0.14) \times 10^{-3}$
$R_K[1.1, 6.0]$	$0.846^{+0.042+0.013}_{-0.039-0.012}$
$R_{K^*}[1.1, 6.0]$	$0.685^{+0.113+0.047}_{-0.069-0.047}$
$R_K[0.045, 1.1]$	$0.660^{+0.110+0.024}_{-0.070-0.024}$
R_D	$0.340 \pm 0.027 \pm 0.013$
R_{D^*}	$0.295 \pm 0.011 \pm 0.008$
$\text{BR}(h \rightarrow e\mu)$	$< 6.1 \times 10^{-5}$ [95% CL]
$\text{BR}(h \rightarrow e\tau)$	$< 4.7 \times 10^{-3}$ [95% CL]
$\text{BR}(h \rightarrow \mu\tau)$	$< 2.5 \times 10^{-3}$ [95% CL]
$\text{BR}(\mu \rightarrow e\gamma)$	$< 4.2 \times 10^{-13}$ [90% CL]
$\text{BR}(\mu \rightarrow eee)$	$< 1.0 \times 10^{-12}$ [90% CL]
$\text{BR}(\tau \rightarrow e\gamma)$	$< 3.3 \times 10^{-8}$ [90% CL]
$\text{BR}(\tau \rightarrow \mu\gamma)$	$< 4.4 \times 10^{-8}$ [90% CL]
$\text{BR}(\tau \rightarrow eee)$	$< 2.7 \times 10^{-8}$ [90% CL]
$\text{BR}(\tau \rightarrow e\mu\mu)$	$< 2.7 \times 10^{-8}$ [90% CL]
$\text{BR}(\tau \rightarrow \mu ee)$	$< 1.5 \times 10^{-8}$ [90% CL]
$\text{BR}(Z \rightarrow \tau e)$	$< 7.5 \times 10^{-7}$ [95% CL]
$\text{BR}(Z \rightarrow \tau e)$	$< 9.8 \times 10^{-6}$ [95% CL]
$\text{BR}(Z \rightarrow \mu\tau)$	$< 1.2 \times 10^{-5}$ [95% CL]
$\text{BR}(\tau \rightarrow \pi e)$	$< 8.0 \times 10^{-8}$ [90% CL]
$\text{BR}(\tau \rightarrow \pi\mu)$	$< 1.1 \times 10^{-7}$ [90% CL]
$\text{BR}(\tau \rightarrow \phi e)$	$< 3.1 \times 10^{-8}$ [90% CL]
$\text{BR}(\tau \rightarrow \phi\mu)$	$< 8.4 \times 10^{-8}$ [90% CL]
$\text{BR}(\tau \rightarrow \rho e)$	$< 1.8 \times 10^{-8}$ [90% CL]
$\text{BR}(\tau \rightarrow \rho\mu)$	$< 1.2 \times 10^{-8}$ [90% CL]
d_e	$< 1.1 \times 10^{-29}$ e.cm [90% CL]
d_μ	$< 1.8 \times 10^{-19}$ e.cm [95% CL]
d_τ	$< (1.15 \pm 1.70) \times 10^{-17}$ e.cm [95% CL]
$\text{BR}(B^0 \rightarrow \mu\mu)$	$(0.56 \pm 0.70) \times 10^{-10}$
$\text{BR}(B_s \rightarrow \mu\mu)$	$(2.93 \pm 0.35) \times 10^{-9}$
$R(B \rightarrow \chi_s \gamma)$	1.009 ± 0.075
R_K^{VV}	4.65 [95% CL]
$R_{K^*}^{VV}$	3.22 [95% CL]
$ \text{Re } \delta g_{EK}^e $	$\leq 2.9 \times 10^{-4}$
$ \text{Re } \delta g_{EK}^\mu $	$\leq 3.0 \times 10^{-4}$
$ \text{Re } \delta g_{EK}^\tau $	$\leq 1.3 \times 10^{-3}$
$ \text{Re } \delta g_{EK}^e $	$\leq 1.1 \times 10^{-3}$
$ \text{Re } \delta g_{EK}^\mu $	$\leq 6.2 \times 10^{-4}$

CP-averaged angular obs.

Observable	Experimental measurement
$F_L(B^+ \rightarrow K\mu\mu)$	$0.34 \pm 0.10 \pm 0.06$
$S_3(B^+ \rightarrow K\mu\mu)$	$0.14^{+0.15+0.02}_{-0.14-0.02}$
$S_4(B^+ \rightarrow K\mu\mu)$	$-0.04^{+0.17+0.04}_{-0.16-0.04}$
$S_5(B^+ \rightarrow K\mu\mu)$	$0.24^{+0.12+0.04}_{-0.15-0.04}$
$A_{FB}(B^+ \rightarrow K\mu\mu)$	$-0.05 \pm 0.12 \pm 0.03$
$S_7(B^+ \rightarrow K\mu\mu)$	$-0.01^{+0.19+0.02}_{-0.17-0.01}$
$S_8(B^+ \rightarrow K\mu\mu)$	$0.21^{+0.22+0.05}_{-0.20-0.05}$
$S_9(B^+ \rightarrow K\mu\mu)$	$0.28^{+0.25+0.06}_{-0.12-0.06}$
$P_1(B^+ \rightarrow K\mu\mu)$	$0.44^{+0.38+0.11}_{-0.40-0.11}$
$P_2(B^+ \rightarrow K\mu\mu)$	$-0.05 \pm 0.12 \pm 0.03$
$P_3(B^+ \rightarrow K\mu\mu)$	$-0.42^{+0.20+0.05}_{-0.21-0.05}$
$P_4^+(B^+ \rightarrow K\mu\mu)$	$-0.092^{+0.36+0.12}_{-0.35-0.12}$
$P_5^+(B^+ \rightarrow K\mu\mu)$	$0.51^{+0.30+0.12}_{-0.28-0.12}$
$P_6^+(B^+ \rightarrow K\mu\mu)$	$-0.02^{+0.40+0.06}_{-0.34-0.06}$
$P_8^+(B^+ \rightarrow K\mu\mu)$	$-0.45^{+0.50+0.09}_{-0.39-0.09}$
$F_L(B^0 \rightarrow K\mu\mu)$	$0.255 \pm 0.032 \pm 0.007$
$S_3(B^0 \rightarrow K\mu\mu)$	$0.034 \pm 0.044 \pm 0.003$
$S_4(B^0 \rightarrow K\mu\mu)$	$0.059 \pm 0.050 \pm 0.004$
$S_5(B^0 \rightarrow K\mu\mu)$	$0.227 \pm 0.041 \pm 0.008$
$A_{FB}(B^0 \rightarrow K\mu\mu)$	$-0.004 \pm 0.040 \pm 0.004$
$S_7(B^0 \rightarrow K\mu\mu)$	$0.006 \pm 0.042 \pm 0.002$
$S_8(B^0 \rightarrow K\mu\mu)$	$-0.003 \pm 0.051 \pm 0.001$
$S_9(B^0 \rightarrow K\mu\mu)$	$-0.055 \pm 0.041 \pm 0.002$
$P_1(B^0 \rightarrow K\mu\mu)$	$0.090 \pm 0.119 \pm 0.009$
$P_2(B^0 \rightarrow K\mu\mu)$	$-0.003 \pm 0.038 \pm 0.003$
$P_3(B^0 \rightarrow K\mu\mu)$	$-0.073 \pm 0.057 \pm 0.003$
$P_4^+(B^0 \rightarrow K\mu\mu)$	$-0.135 \pm 0.118 \pm 0.003$
$P_5^+(B^0 \rightarrow K\mu\mu)$	$-0.521 \pm 0.095 \pm 0.024$
$P_6^+(B^0 \rightarrow K\mu\mu)$	$-0.015 \pm 0.094 \pm 0.007$
$P_8^+(B^0 \rightarrow K\mu\mu)$	$-0.007 \pm 0.122 \pm 0.002$
$\epsilon_k^{\text{NP}}/\epsilon_k^{\text{SM}}$	1.00 ± 0.14
$\Delta M_s^{\text{NP}}/\Delta M_s^{\text{SM}}$	1.00 ± 0.11
$\Delta M_s^{\text{NP}}/\Delta M_s^{\text{SM}}$	1.000 ± 0.0054

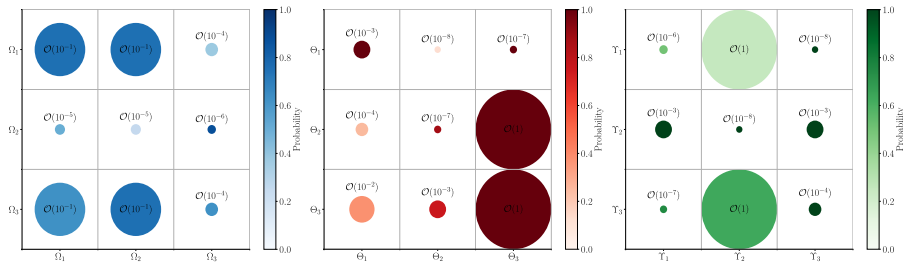
Access quality of the fit through the χ^2 function [Eur.Phys.J.C 81 (2021) 10, 952]

$$\chi^2 = (\mathcal{O}_{\text{exp}} - \mathcal{O}_{\text{th}})^T (\mathbf{\Sigma}_{\text{th}} + \mathbf{\Sigma}_{\text{exp}})^{-1} (\mathcal{O}_{\text{exp}} - \mathcal{O}_{\text{th}})$$

- The experimental correlations are also considered in $\mathbf{\Sigma}_{\text{exp}}$, if available;
- Theoretical correlations are computed from simulated data set, using Pearson's method;
- Theoretical uncertainties are assumed gaussian. Determined from experimental errors on inputs (fermion masses, PMNS and CKM).

Analysis based on three distinct scenarios:

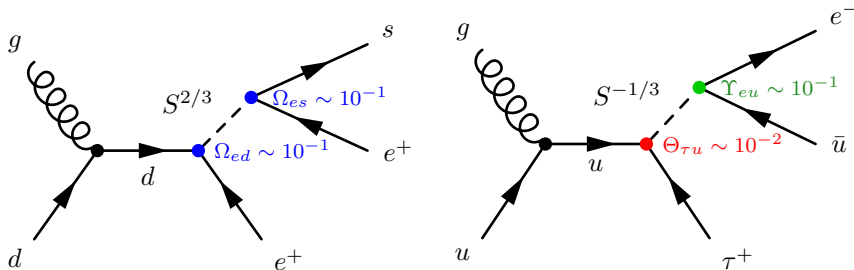
- Both \mathbf{a}_μ and \mathbf{M}_W are SM-like (**Scenario a**);
- \mathbf{a}_μ requires new physics but \mathbf{M}_W is SM-like (**Scenario b**);
- \mathbf{a}_μ and \mathbf{M}_W require new physics (**Scenario c**).



- **Scenario a):** $\chi^2/28$ d.o.f = 1.78, with $m_{S_1^{1/3}} = 2.57$ TeV, $m_{S_2^{1/3}} = 2.76$ TeV and $m_{S_2^{2/3}} = 2.78$ TeV;
- **Scenario b):** $\chi^2/28$ d.o.f = 1.76, with $m_{S_1^{1/3}} = 2.33$ TeV, $m_{S_2^{1/3}} = 4.48$ TeV and $m_{S_2^{2/3}} = 4.46$ TeV;
- **Scenario c):** $\chi^2/28$ d.o.f = 1.83, with $m_{S_1^{1/3}} = 2.46$ TeV, $m_{S_2^{1/3}} = 2.86$ TeV and $m_{S_2^{2/3}} = 2.81$ TeV;

To conclude ...

- Discussed a simple economical model and showed preferred Yukawas for solving the anomalies;
- Textures of the Yukawas imply preference for channels involving, for example, 1st/3rd or 1st/2nd generation of fermions



- Can explain B-physics, \mathbf{a}_μ , \mathbf{m}_ν and \mathbf{M}_W , while consistent with all relevant constraints with $\chi^2/28 \text{ d.o.f} = 1.83$.

On the interplay between flavour anomalies and neutrino properties

Thank you for your attention

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