

Connecting the neutrino sector  
to the scalar sector  
with the Grimus-Neufeld model:  
Yukawa couplings

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Standard Model (SM) + one fermionic singlet + two Higgs doublets

- is not a new idea: [G-N] W. Grimus and H. Neufeld, Nucl. Phys. B **325** (1989) 18.

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## outline of the talk

- the Grimus-Neufeld model (GNM) Lagrangian
- the Grimus-Lavoura approximation
  - allowing the analytic prediction of neutrino masses
- determining Lagrangian parameters
  - from masses and mixings
    - \* in the Grimus-Lavoura approximation !
- the tiny seesaw scenario
  - with a new parametrization of the Yukawas
  - and approximate symmetries
- summary, progress, and plans

## The GNM Lagrangian

- Gauge sector  $\mathcal{L}_G$  and Fermion-Gauge sector of the SM:

- gauge group  $U(1)_Y \otimes SU(2)_L \otimes SU(3)_{\text{color}}$
- gauge covariant derivative  $D_\mu \psi$
- and the Lagrangian  $\mathcal{L}_{G-F} = \sum_\psi \bar{\psi} i \not{D} \psi$

(1)

- Gauge-Higgs sector with the gauge covariant derivative  $D_\mu \phi_a$  and the Lagrangian  $\mathcal{L}_{G-H} = (D^\mu \phi_a)^\dagger (D_\mu \phi_a) - V(\phi_a)$

(2)

- Higgs sector: two Higgs doublets  $\phi_a$  in the Higgs potential  $V(\phi_a)$

[H-ON] H. E. Haber and D. O'Neil, Phys. Rev. D **83** (2011) 055017 [arXiv:1011.6188 [hep-ph]]

- Fermion-Higgs sector with the Yukawa couplings (ignoring quarks)

$$\mathcal{L}_{F-H} = -\bar{\ell}_{Lj}^0 \phi_a Y_{Ljk}^{\bar{a}} e_{Rk}^0 - \bar{\ell}_{Lj}^0 \tilde{\phi}_{\bar{a}} \tilde{Y}_{Lj}^a N^0 + h.c. \quad (3)$$

with the adjoint Higgs doublet  $\tilde{\phi}_{\bar{a}} = \epsilon \phi_a^* = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \cdot \begin{pmatrix} (\phi_a^+)^* \\ (\phi_a^0)^* \end{pmatrix} =: \begin{pmatrix} \phi_{\bar{a}}^{0*} \\ -\phi_{\bar{a}}^- \end{pmatrix}$

- Majorana sector with the Majorana singlet  $N^0$ :  $D_\mu N^0 = \partial_\mu N^0$

The bare GNM has parameters additionally to the "original" SM

- the (complex) singlet Majorana mass term  $M_R$
- parameters in the Higgs sector – like a general 2HDM see [H-ON]
  - we use the Higgs basis: it fixes where the vev sits

\* distinguishes the neutrino couplings between seesaw / loop

- the neutrino Yukawa coupling of the first Higgs doublet

$$(Y_N^{(1)})_j := \tilde{Y}_{Lj}^1 = \frac{\sqrt{2}}{v}(M_D)_j \dots \text{ the "Dirac mass" term}$$

$$\text{– is responsible for the seesaw: } y^2 = \sum_j |(Y_N^{(1)})_j|^2 = \frac{2m_s m_4}{v^2} \quad (4)$$

- the Yukawa couplings of the second Higgs doublet

$$(Y_N^{(2)})_j := \tilde{Y}_{Lj}^2 \text{ to lepton doublets and neutral fermionic singlet } N_R$$

– is essential for the loop mass  $\Rightarrow$  we have a general 2HDM

$$(Y_E^{(2)})_{jk} := Y_{Ljk}^2 \text{ to lepton doublets and charged lepton singlets } \ell_{Rj}$$

– is not restricted by neutrino data at one loop

The GNM tree level for the neutral fermions

- the Yukawa coupling  $(Y_N^{(1)})_j$  mixes the neutral leptons  $\nu_j$  with  $N_R$
- the mixing gives a  $(3 + 1) \times (3 + 1)$  symmetric mass matrix

$$M_\nu = \begin{pmatrix} M_L & M_D^\top \\ M_D & M_R \end{pmatrix} \quad \text{with} \quad \begin{aligned} M_L &= 0_{3 \times 3} \\ M_D^\top &= \frac{v}{\sqrt{2}} Y_N^{(1)} \end{aligned} \quad (5)$$

- $M_\nu$  has rank 2  $\Rightarrow$  only two masses are non-zero
  - diagonalizing  $M_\nu$
- $$U_{(\nu)}^\dagger M_\nu = \text{diag}(m_o = \text{"zero"}, m_t = \text{"third"}, m_s = \text{"seesaw"}, m_4) U_{(\nu)}^\top =: \hat{m} U_{(\nu)}^\top \quad (6)$$

with  $m_o = m_t = 0$  by the unitary matrix

$$U_{(\nu)} = \begin{pmatrix} u_{eo} & u_{et} & cu_{es} & -is u_{es} \\ u_{\mu o} & u_{\mu t} & cu_{\mu s} & -is u_{\mu s} \\ u_{\tau o} & u_{\tau t} & cu_{\tau s} & -is u_{\tau s} \\ 0 & 0 & -is & c \end{pmatrix} \quad \text{where} \quad \begin{aligned} c^2 &= \frac{m_4}{m_4 + m_s} \\ s^2 &= \frac{m_s}{m_4 + m_s} \end{aligned} \quad (7)$$

- with  $u_{k\alpha}$  being a unitary  $3 \times 3$ -matrix

The GNM tree level for the neutral fermions

- from  $U_{(\nu)}^\dagger M_\nu = \hat{m} U_{(\nu)}^\top$  and  $(Y_N^{(1)})_k = \frac{\sqrt{2}}{v} (M_D^\top)_k$  we get

$$u_{ko}^* (Y_N^{(1)})_k = u_{kt}^* (Y_N^{(1)})_k = 0 \quad (8)$$

- the two tree level massless "neutrinos"  $\nu'_{o,t}$  are degenerate
- use the **second Higgs** coupling  $(Y_N^{(2)})_k$  to distinguish them:

$$u_{ko}^* (Y_N^{(2)})_k = 0 \quad \text{and} \quad u_{kt}^* (Y_N^{(2)})_k =: d \neq 0 \quad (9)$$

$\Rightarrow$  parametrize the **Yukawa couplings** as

$$(Y_N^{(1)})_k = i y u_{ks} \quad (Y_N^{(2)})_k := d u_{kt} + i d' u_{ks} \quad (10)$$

- $\Rightarrow$  we can choose a basis for the neutrinos with simple Yukawas
- where the neutrino  $\nu'_o$  does not couple to Higgses

\* with a 3HDM we could not guarantee the last feature

At one loop the GNM **generates** a **loop induced** mass  $m_t \propto d^2$

determining the parameters of the GNM at tree level

- we can use physical masses and couplings
  - for the Higgs sector [see Tuesdays talk of Odd Magne OGREID]
    - \* Higgs masses  $m_h, m_H, m_A, m_{H^\pm}$  and Higgs-Gauge couplings
  - for the neutrino sector (i.e.  $m_4$  and  $(Y_N^{(a)})_k$ )
    - \* neutrino mixing matrix  $U_{\text{PMNS}}$
    - \* neutrino mass differences  $\Delta m_{\text{atm}}^2$  and  $\Delta m_{\text{sol}}^2$

!! but we have only a single mass difference at tree level:

$$\Delta m_{so}^2 - \Delta m_{st}^2 = \Delta m_{to}^2 = 0 \quad \text{since} \quad m_o = m_t = 0 \quad (11)$$

inconsistent !

⇒ we need the one-loop level to determine parameters

## Including one-loop predictions:

- renormalizing the Lagrangian expressed in the **mass eigenstates**
  - needs a **counter term**  $\delta^{\text{ct}}_m$  for each **non vanishing mass**  $m$ 
    - \* we have  $m_3 > 0$  already at tree level ...

## "Trick" of Grimus and Lavoura

[G-L] W. Grimus and L. Lavoura, JHEP **0011** (2000) 042 [arXiv:hep-ph/0008179].

- **renormalize** the Lagrangian expressed in **interaction eigenstates**
  - $\Rightarrow$  the counter term structure is simpler
- **reduce the problem** to the "**light**" **neutrinos**
  - get an **effective** 1-loop improved  $3 \times 3$ -mass matrix as a function of the **model parameters**
    - \* since the matrix is singular, it can be further reduced to a  $2 \times 2$  matrix  $\hat{\Sigma}$
  - the singular values are the light neutrino masses
    - \* in general this involves solving a  $4^{\text{th}}$  order equation



neutrino mass eigenstates from the Grimus-Lavoura approximation

- the "heavy" state  $\nu_4'' \sim \nu_4'$  with mass  $m_4$  was "integrated out"
- the massless state  $\nu_o'' = \nu_o'$  with mass  $m_o = 0$  was left untouched
  - since it does not couple to any Higgs
- the tree level states  $\nu_{t,s}'$  were mixed into one-loop mass eigenstates  $\nu_{2,3}''$ 
  - the masses  $m_t$  and  $m_s$  can be determined from the measured mass differences

$$\Delta m_{\text{sol}}^2 = \Delta m_{21}^2 \quad \text{and} \quad \Delta m_{\text{atm}}^2 \approx |\Delta m_{31}^2| \quad (12)$$

[SoNO2018] P. F. de Salas *et al.*, Phys. Lett. B **782** (2018) 633

- one has to be careful with normal or inverted hierarchy:  $m_t < m_s$  ?
- the transformation chain: [DGKKS2022] V. Dūdėnas *et al.*, [arXiv:2206.00661 [hep-ph]]

$$\begin{array}{ccccccc}
 \left( \begin{array}{cc} 0_{3 \times 3}^{0\ell} & \frac{v}{\sqrt{2}} Y^{(1)} \\ \frac{v}{\sqrt{2}} Y^{(1)T} & M \end{array} \right) & \xrightarrow{\tilde{V}} & \left( \begin{array}{cccc} 0^{1\ell} & 0^{1\ell} & 0^{1\ell} & 0^{1\ell} \\ 0^{1\ell} & 0^{0\ell} & 0^{0\ell} & 0^{0\ell} \\ 0^{1\ell} & 0^{0\ell} & 0^{0\ell} & i \frac{vy}{\sqrt{2}} \\ 0^{1\ell} & 0^{0\ell} & i \frac{vy}{\sqrt{2}} & M \end{array} \right) & \xrightarrow{\tilde{S}} & \left( \begin{array}{cccc} 0^{1\ell} & 0^{1\ell} & 0^{1\ell} & 0^{1\ell} \\ 0^{1\ell} & & \hat{\Sigma} & 0^{1\ell} \\ 0^{1\ell} & & & 0^{1\ell} \\ 0^{1\ell} & 0^{1\ell} & 0^{1\ell} & m_4 + 0^{1\ell} \end{array} \right) & \xrightarrow{\tilde{R}} & \hat{m} \\
 \parallel & & & & & & \parallel \\
 M_\nu^F & & & & & & \tilde{U}^* M_\nu^F \tilde{U}^\dagger \\
 \nu_\alpha := \{\nu_i, N\} & & \nu'_\alpha & & \approx \nu'_\alpha & & \nu''_\alpha \\
 Y^{(i)} & & Y^{(i')} & & \approx Y^{(i'')} & & Y^{(i'')}
 \end{array}$$

values for the seesaw

- the physical light masses are determined:

$$m_o = m_1 = 0, \quad m_2 = \sqrt{\Delta m_{\text{sol}}^2}, \quad m_3 = \sqrt{\Delta m_{\text{atm}}^2} \quad (13)$$

- but  $m_4$  is a free parameter
- implementing this model in FlexibleSUSY exhibits an instability:

\* one loop Higgs masses are not consistent with tree-level mass values:  
for stable loop level Higgs masses we are limited to  $m_4 < 10^6 \text{ GeV}$

- using the seesaw relation  $y^2 = \sum_j |(Y_N^{(1)})_j|^2 = \frac{2m_s m_4}{v^2}$  (4)

- we see, that  $y$  becomes a small parameter !

$\Rightarrow$  motivates the definition of the tiny seesaw scenario  $y \leq 10^{-7}$  (14)

sidestep: what happens when  $y \rightarrow 0$  (i.e.  $(Y_N^{(1)})_j \rightarrow 0$ ) ?

- $\mathcal{L}_{\text{GNM}}$  gains an additional  $Z_2$  symmetry:  $\phi_2 \leftrightarrow -\phi_2, \quad N^0 \leftrightarrow -N^0$  (15)

$\Rightarrow$  the tiny seesaw scenario has an approximate  $Z_2$  symmetry

## features of the tiny seesaw scenario

- the seesaw scale becomes smaller than the EW scale :  $m_4 < v$  (16)
- the loop inducing couplings  $d$  and  $|d'|$  become large
  - $d$  is determined by the determinant of the  $2 \times 2$  mass matrix  $\hat{\Sigma}$

$$m_2 m_3 = m_t m_s = \det[\hat{\Sigma}] = d^2 m_3^{\text{tree}} \Lambda \quad (17)$$

with the loop function of the neutral Higgses

$$\Lambda = \frac{m_4}{32\pi^2} [B_0(0, m_4^2, m_A^2) - B_0(0, m_4^2, m_H^2)] \propto \frac{m_4}{32\pi^2} \lambda_5 \quad (18)$$

- but  $|d'|$  is determined by a simpler  $2^{nd}$  order equation for  $|\frac{d'}{d}|$ 
    - \* instead of the  $4^{th}$  order equation in the general case
- [DG2021] V. Dūdėnas and T. Gajdosik, Acta Phys. Polon. Supp. **15** (2022) no.2, 1
- it allows a more convenient parametrization of the Yukawa couplings
    - determined by the elements of the  $2 \times 2$  rotation matrix  $\hat{R}$  that diagonalizes  $\hat{\Sigma}$

Parametrizing the Yukawas with the rotation matrix  $\hat{R}$

- using Murnaghan's parameterization

$$\hat{R} = \begin{pmatrix} R_{22} & -R_{32}^* e^{i\phi_R} \\ R_{32} & R_{22}^* e^{i\phi_R} \end{pmatrix}, \text{ with } \begin{aligned} R_{22} &= \cos r e^{i\omega_{22}} \\ R_{32} &= \sin r e^{i\omega_{32}} \end{aligned} \quad (19)$$

we parametrize the Yukawa couplings in mass eigenstates as

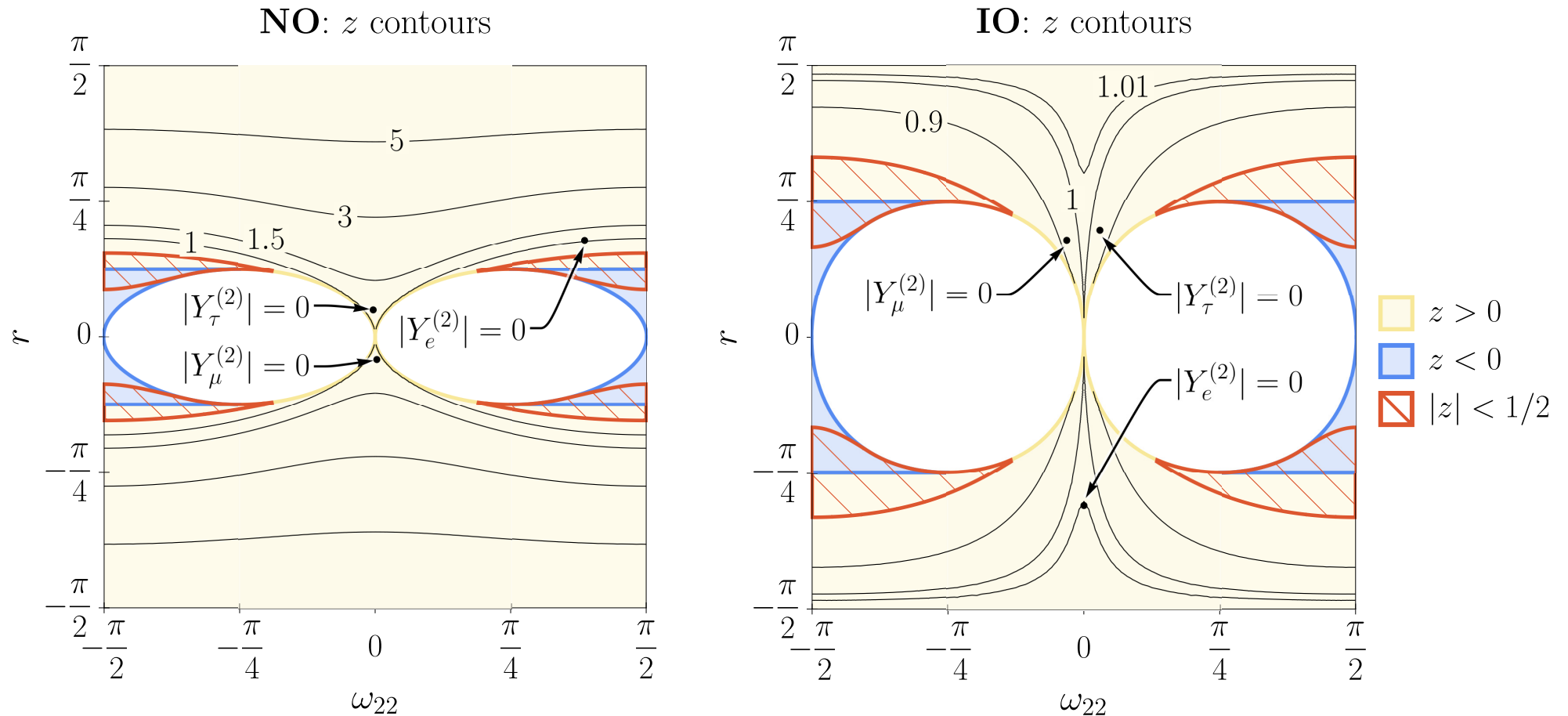
$$Y_N^{(1)} = \frac{i}{e^{i\phi_R}} \sqrt{\frac{2m_3 m_4}{|z|v^2}} (0, -R_{32}, R_{22}) \quad (20)$$

$$Y_N^{(2)} = \text{sign}(\Lambda) \sqrt{\frac{m_2}{|z\Lambda|}} (0, R_{22}, t_{32} R_{32}) \quad (21)$$

where  $t_{32} = \frac{m_3}{m_2}$  and  $z = \cos^2 r e^{2i\omega_{22}} + t_{32} \sin^2 r e^{2i\omega_{32}}$  (22)

- $z$  has to fulfill the constraint  $|z| = \frac{m_3}{m_3^{\text{tree}}}$  and parametrises the relative loop correction for the heaviest light neutrino
- we replaced the previous free parameters by  $r$  and  $\omega_{22}$
- this rewriting simplifies the numerical input for FlexibleSUSY and gives a minimal parameter space for the model

## parameter space for Lepton flavor violation



- in the white area the constraint for  $z$ , eq. (22) cannot be fulfilled
- points where the flavour Yukawa couplings vanish are shown:
  - in these points the corresponding charged lepton does not couple to  $H^\pm$

## Summary of the GNM

- the GNM extends the SM with a Higgs doublet and a Majorana singlet
    - the neutrinos become Majorana particles
      - \* the lightest neutrino stays massless at one loop
    - neutrino oscillations determine the neutrino Yukawa coupling
      - \* allows predictions of Lepton Flavor violating processes
      - \* the other possible new Yukawa couplings stay free parameters
    - a large seesaw scale causes numerical problems in FlexibleSUSY
  - An approximate  $Z_2$  symmetry defines the tiny seesaw scenario
    - motivates the suppression of the undefined (free) new Yukawas
    - stabilizes the numerical renormalization in FlexibleSUSY
    - the explicit but small breaking parameters  $y$  and  $\lambda_5$  interpolate between seesaw and radiative neutrino masses
- ⇒ the GNM can be seen as generalization of Dark matter models
- \* in terms of predicting Lepton Flavor violating processes

## Progress in the last four years

- implementation in **FlexibleSUSY** is stable regarding neutrinos
  - for the large seesaw a high precision package is needed
    - \* Higgses have to be taken at tree-level
  - **tiny seesaw scenario** solves also this problem
- New definition for the **Yukawa couplings**
  - simplifies the presentation of the parameter space:
    - \* clear boundaries, numerically simple
    - \* no doubling of Yukawa coupling values by different parameters
    - \* no reverse engineering of input parameters
- Phenomenological analysis of Lepton Flavour violating processes
  - limits also for the Higgs potential
    - \* see talk by V. Dūdenas in the afternoon

## Plans

- Extending the Phenomenological analysis of Lepton Flavour violation
    - covering the "corners" in the Higgs potential
  - fully renormalizing the model
    - see talk by S. Draukšas in the afternoon
      - \* some success already, but not finished
  - Exploring the Cosmology connection
    - lifetime of the particles
    - could there be a Dark Matter candidate ?
    - what about Leptogenesis ?
      - \* mostly for having themes for students ...
- ? What changes if we get a third Higgs doublet ?



Thank you  
for discussion  
and comments

and of course for the workshop! 😊